

2011 Realignment*

DESCRIPTION:

This section provides high-level summaries of the 2011 Realigned programs, estimated caseloads and expenditures for programs and services, histories of rate changes, links to other related information in this binder and links to CDSS' Internet website.

In June 2011, state statute realigned state funding to the counties through the established 2011 LRF Protective Services Subaccount within the Support Services Account for the following programs: AAP; Agency Adoptions; FC; CWS; CAPIT and APS.

The LRF was created in the State Treasury to receive all revenues, less refunds, derived from the taxes described in Government Code sections 6051.15 and 6201.15. Revenues may be allocated to the fund pursuant to Revenue and Taxation Code sections 11001.5 and 11005, as well as other moneys that may be specifically appropriated to the fund.

The 2011 Realignment statute also required CDSS to annually report to the appropriate fiscal and policy committees of the Legislature. It also required to publicly post on CDSS' Internet website a summary of outcome and expenditure data that allows for monitoring of changes over time that may have occurred as a result of 2011 Realignment on the child welfare system. The report can be found on CDSS' website: <http://www.cdss.ca.gov/cdssweb/PG2800.htm>

An overview of AAP, FC, CWS and Agency Adoptions is provided under the "Program Overviews" tab and the "History of Major Changes" can be found under the "Reference Documents" tab in this binder. Descriptions for each of the realigned premises from the 2011 May Revision, which includes the full description, methodologies and funding for the FY 2011-12 realigned programs are available at:

<http://www.cdss.ca.gov/cdssweb/entres/pdf/2011MayRevisionRealignedPremises.pdf>

IMPLEMENTATION DATE:

The base year for 2011 Realignment funding is FY 2011-12.

SUMMARY OF REALIGNED PROGRAMS:

FC Program

- Foster Family Homes
 - Foster family homes provide 24-hour care and supervision in a family environment for children who cannot live in their own homes.
 - The placements have a capacity of six or less.
 - The foster family homes are licensed by the state, county CCL agencies or are approved homes of relatives or non-related legal guardians.
 - The foster family home reimbursement rates range from \$671 to \$838 per month based on the age of the child in placement. A SCI may be paid to a foster family home in addition to the basic rate on behalf of any AFDC-FC child requiring specialized care because of health and/or behavioral problems. A county clothing allowance may also be paid by counties in addition to the basic rate.

*Please refer to the first tab titled "[Acronyms](#)" for a full description of acronyms.

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

- Foster Family Agencies
 - Foster family agencies are nonprofits licensed to recruit, certify, train and support foster parents for children needing placement.
 - These foster family agencies primarily serve children who would otherwise require group home care.
 - The foster family agency treatment rates include a basic rate similar to the foster family home rate, a set increment for the special needs of the child, an increment for social work activities and an increment for administration, which includes recruitment and training. Reimbursement rates range from \$1,728 to \$1,995 per month based on the age of the child in placement. Reimbursement rates for foster family agencies with an Intensive Treatment FC Program range from \$4,138 to \$5,685 per month based on the level of services provided to the child.
- Group Homes
 - Group homes are private nonprofit, non-detention facilities that provide services in a group setting to children in need of higher level care and supervision.
 - These homes are the most restrictive out-of-home placement for children in FC, providing an option for children with significant emotional or behavioral problems who would otherwise require more restrictive environments.
 - The group home reimbursement rates range from \$2,332 to \$9,879 per month based on rate classification levels 1 through 14.

CWS Program

- The CWS program provides services to children who have been abused, neglected or exploited and is comprised of the five components listed below:
 - The ER services consist of a response system that provides in-person response, when required, to reports of child abuse, neglect or exploitation for the purpose of investigation and to determine the necessity for providing initial intake services and crisis intervention to maintain the child safely in his/her home or to protect the safety of the child.
 - The ERA is the initial intake service provided in response to reported allegations of child abuse, neglect or exploitation that is determined, based upon an evaluation of risk, to be inappropriate for an in-person investigation.
 - The FM is designed to provide time-limited protective services to prevent or remedy neglect, abuse or exploitation for the purpose of preventing separation of children from their families. The CWDs are responsible for determining the specific service needs of the child and family aimed at sustaining the child from the home.
 - The FR is designed to provide time-limited protective services to prevent or remedy neglect, abuse or exploitation when the child cannot safely remain at home. The CWDs are responsible for determining the specific service needs of the child and/or family aimed at reunifying the child with the family.

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

CWS Program (Continued)

- The PP is designed to provide an alternative permanent family structure for children who because of abuse, neglect or exploitation cannot safely remain at home and who are unlikely to ever return home. The CWDs are responsible for determining the appropriate permanent goal for the child and facilitating the implementation of that goal. These goals are defined as guardianship, adoption or long-term placement.

Agency Adoptions Program

- The Adoptions Program is comprised of both Agency (Relinquishment) and Independent Adoptions Programs, however only Agency Adoptions were realigned.
- Funding is provided for adoption placements through a licensed adoption agency for children who have been relinquished by their parent(s) and/or the parental rights have been terminated by a court action due to abuse or neglect.

CAPIT Program

- The purpose of the federal CAPTA of 1974 (PL 93-247) and subsequent amendments is to provide funding to the states in a federal grant that targets statewide improvements. These improvements include expanding risk and safety assessments and assessing families' needs for services.
- The Act emphasizes strengthening linkages between CWS, public health, mental health and developmental disabilities agencies to screen children ages 0 to 5 years who have come to the attention of child protective services and are in need of early intervention services.
- Funds are also used to enhance the capacity of family resource centers and family support programs to provide services to strengthen families, and to provide training on the assessment and developmental interventions for high-risk, medically fragile newborns.
- The Act consists of two parts: Title I, General Program and Title II, the CBCAP Program.
- These funds are also used to fulfill federal CBCAP grant matching and leveraging requirements.
- With the passage of 2011 Realignment statutes, counties are no longer required to contract for services and may now use the realigned CAPIT funds in-house to provide direct services (such as home visiting, counseling, etc.) to the target population as long as federal match requirements continue to be met.

APS Program

- The APS program provides assistance to elderly and dependent adults who are functionally impaired, unable to meet their own needs, and who are victims of abuse, neglect or exploitation.
- The APS program is required to report abuse of elder dependents on a 24-hour ER basis, completing investigation and needs assessments and providing case management services.
- In addition, the APS program is required to provide necessary tangible resources such as food, emergency shelter care, in-home protection, transportation and the use of multidisciplinary teams to the elder dependent.

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SUMMARY OF REALIGNED PROGRAMS (CONTINUED):

Title IV-E Well-Being Project

- The Title IV-E Well-Being Project “Project” was previously referred to as the Title IV-E Waiver.
- The Project waives Social Security Act sections 472 (a) related to eligibility, 474 (a)(1) related to claiming and 474 (a)(3)(E) and 45 CFR 1356.60(c)(3) related to services.
- The Project allows counties to use the capped federal Title IV-E funds in a more flexible manner to place more emphasis on child and family well-being.
- On March 31, 2006, the federal DHHS approved the Project for Alameda and Los Angeles Counties for the period of FY 2007-08 through FY 2011-12, with bridge extensions through September 30, 2014.
- On September 30, 2014, the state was granted an extension for the Project for the period FFY 2015 through FFY 2019.
- For the extension period, Alameda and Los Angeles Counties continue to be part of the Project and are referred to as Cohort 1.
- Seven additional counties (Butte, Lake, Sacramento, San Diego, San Francisco, Santa Clara and Sonoma) joined the Project for the extension period and are referred to as Cohort 2.

KEY DATA/ASSUMPTIONS:

- Authorizing statute: Government Code sections 29553, 30061 and 30070; Penal Code sections 1465.8 and 13821; Revenue and Taxation Code sections 6051.15 and 6201.15; W&IC sections 18220, 18220.1 and 17601.20; as amended by AB 118 (Chapter 40, Statutes of 2011). Government Code sections 30025, 30027, 30028.5, 30061, 30029.3 and 30029.4; Penal Code section 13821; W&IC sections 1954, 10823, 17600, 17600.10, 17601.20, and 18220.1; as amended by ABX1 16 (Chapter 13, First Extraordinary Session, Statutes of 2011). Government Code sections 30025 through 30029; W&IC sections 17600.15, 17601.20, 17601.25 and 17602.1; as amended by SB 1020 (Chapter 40, Statutes of 2012). Family Code sections 8506, 8509, 8513, 8515, 8521, 8524, 8530, 8600.5, 8608, 8619, 8620, 8621, 8700-8705, 8707-8710, 8712, 8713, 8715, 8716, 8717, 8720, 8730, 8732, 8733, 8735 and 9205; Health and Safety Code sections 1502, 1505 and 1559.110; W&IC sections 293, 294, 305.6, 358.1, 361, 361.5, 366.21, 366.22, 366.24, 366.25, 366.26, 366.3, 450, 727.3, 727.31, 10101, 10101.2, 10103, 10104, 10553.1, 10601.2, 10605, 10606.2, 10609.3, 10609.4, 10609.9, 11214, 11215, 11400, 11402, 11402.6, 11403, 11461, 11462.05, 11463, 11466.23, 11467, 11469, 13754, 13757, 15200, 15204.9, 16002, 16100, 16101, 16105, 16118, 16119, 16120, 16120.1, 16121.05, 16122, 15204.25, 16123, 16133, 16135, 16500, 16501, 16508, 16516.5, 16519.5, 16522, 16522.1, 16522.2, 16522.5, 16525.10, 16525.25, 16605, 18250, 18254, 18255, 18257, 18358.30, 18960, 18961, 18962, 18987.7; as amended by SB 1013 (Chapter 35, Statutes of 2012); Government Code sections 6051.15 and 6201.15 and Revenue and Taxation Code sections 11001.5 and 11005.
- Caseload trends for AAP, FC and CWS are shown under the “Caseload” tab in this binder.

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KEY DATA/ASSUMPTIONS (CONTINUED):

- The LRF funding is solely for specified realigned programs.
- The LRF for the children’s and adult programs mentioned above, representing the state revenues that shifted to the counties under realignment, is displayed in the “2011 Realigned” lines in the Detail Tables in this binder. The federal, county and reimbursement funding shares are shown in the corresponding “Cash Payments” or “Program Cost” table lines.
- The LRF value has been held to the 2011 Realignment base from FY 2011-12, while the federal, county and reimbursement funding reflect updated assumptions.
- The Expenditures section below displays the LRF, county and federal funding shares, including the break-out of the federal share by federal fund type.

AAP

- Authorizing statute: W&IC sections 16115 through 16123.
- The AAP funding reflects the basic cost of providing financial support to families adopting a child with special needs. The AAP benefit is limited to the age-related foster family home basic rate for which the child would otherwise be eligible. Additionally, the grant cost for a child entering AAP on or after January 1, 2010, is frozen at the level provided at entry, and no increases are provided based on an increase in age.

FC

- Authorizing statute: W&IC section 11461 through 11463 and 18358.3.
- Federal and nonfederal average grant computations utilize caseload and expenditure data reported by the counties on the CA 237 FC and CA 800 FC reports.
- The foster family home, foster family agency, group home and AAP grants reflected separately below combine the average federal and nonfederal amounts.
 - The group home rate increased overall by 32 percent beginning December 14, 2009, with CNI COLA increases every July thereafter.
 - The foster family home rate increased by 31 percent beginning May 1, 2011, with CNI COLA increases every July thereafter. The AAP rate for prospective cases increased by 31 percent beginning May 1, 2011, and for prospective and current AAP cases, with CNI COLA increases every July thereafter.
 - The basic rate component of the foster family agency rate increased by 31 percent beginning July 1, 2012, with CNI COLA increases every July thereafter.
 - The FY 2014-15 and FY 2015-16 are based on the 49-county estimate, the grants for the base year were based on the 56-county estimate.

	<u>Base Year</u>	<u>2015 May Revision</u>	
	FY 2011-12	FY 2014-15	FY 2015-16
Foster Family Homes	\$905.40	\$916.19	\$939.46
Foster Family Agencies	1,642.66	2,026.04	2,077.51
Group Homes	7,259.46	8,128.37	8,334.86
AAP	842.54	950.20	974.34

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KEY DATA/ASSUMPTIONS (CONTINUED):

- The following table reflects the 58-county FC and AAP budgeted caseload forecast by placement type.

	Base Year	2015 May Revision	
	FY 2011-12	FY 2014-15	FY 2015-16
Foster Family Homes	23,446	25,119	25,301
Foster Family Agencies	15,378	13,456	13,621
Group Homes	7,033	6,046	5,749
Total FC Caseload	45,857	44,621	44,671
AAP Caseload	86,393	84,614	84,712

CWS

- Authorizing statute: W&IC sections 16500 and 11461(e)(4)(B).
- The caseload components of the CWS program are: ER, ERA, FM, FR and PP.

Statewide	Base Year	2015 May Revision	
	FY 2011-12	FY 2014-15	FY 2015-16
ER	39,896	39,497	39,845
ERA	16,162	19,757	20,051
FM	23,257	23,330	23,668
FR	21,644	24,168	23,916
PP	35,474	33,673	34,263
Total CWS Caseload	136,433	140,425	141,743

Agency Adoptions Program

- Authorizing statute: W&IC sections 16100 through 16106.

CAPIT

- Authorizing statute: W&IC sections 18960 through 18965.

APS

- Authorizing statute: W&IC section 13004 through 13007 as related to the County Services Block Grant; commencing with W&IC section 15600 as related to the Elder Abuse and Dependent Adult Civil Protection and APS.

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METHODOLOGY:

The program expenditures were developed using updated caseloads, grants and expenditures. For more information on specific methodologies, refer to the Estimate Methodologies section of the 2011 May Revision binder.

FUNDING:

Federal, county and reimbursement shares of costs/savings have been determined using the same funding ratios applied prior to 2011 Realignment. The LRF is held to the FY 2011-12 Realignment base.

For children's programs, federal funds include Title IV-B, Title IV-E, Title XIX, Title XX and TANF. The FFP is 50 percent for both assistance and administrative expenditures and 75 percent for training expenditures. The percent of federally eligible administrative costs is 68 percent in FY 2014-15 and 69 percent in FY 2015-16 for the 49-county estimates. The percent of federally eligible administrative costs is 65 percent in FY 2014-15 and 66 percent in FY 2015-16 for the 58-county estimates.

For adult programs, federal funds are solely Title XIX. The FFP is based on a 75 percent enhanced FMAP for health-related activities performed by SPMP and 50 percent FMAP for health-related activities performed by non-SPMP.

For more information on specific sharing ratios, refer to the Estimate Methodologies section of the 2011 May Revision binder.

CHANGE FROM GOVERNOR'S BUDGET:

The FC assistance FY 2014-15 and FY 2015-16 federal and county increases reflect an increase in the projected caseload.

The AAP FY 2014-15 federal and county decreases reflect a decrease in the projected caseload. The FY 2015-16 federal and county increases reflect an increase in the projected caseload.

The FC administration FY 2014-15 and FY 2015-16 federal and county increases reflect an increase in the projected caseload.

There is no change in CWS administration in FY 2014-15. The CWS administration FY 2015-16 federal and county increases reflect an increase in the projected caseload and increases in actual Title IV-E and Title XIX expenditures.

There is no change in Adoptions administration in FY 2014-15. The Adoptions administration FY 2015-16 federal increase reflect the updated expenditures.

There is no change in OCAP.

The APS FY 2014-15 and FY 2015-16 increases reflect updated expenditures.

The Title IV-E Well-Being Project FY 2014-15 decrease reflects the revised county share based on the percent of federal expenditures. The Title IV-E Well-Being Project FY 2015-16 net increase reflects increased county share based on the percent of federal expenditures offset by a federal decrease due to an updated CNI COLA of 2.54 percent from 2.97 percent.

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REASON FOR YEAR-TO-YEAR CHANGE:

The FC assistance federal and county decreases reflect shifting three months of funds for seven counties to Item 153 as part of the Title IV-E Well-Being Project extension.

The AAP federal and county increases reflect an increase in the projected caseload and CNI COLA.

The FC administration federal and county decreases reflect shifting three months of funds for seven counties to Item 153 as part of the Title IV-E Well-Being Project extension.

The CWS administration federal and county increases reflect increases in the federal Title IV-E and Title XIX expenditures, slightly offset by the shifting of the three months of funding for seven counties to Item 153 as part of the Title IV-E Well-Being Project extension. The CWS administration LRF and Title XX decreases reflect shifting three months of funds for seven counties to Item 153 as part of the Title IV-E Well-Being Project extension.

The Adoptions administration increase reflects the addition of Yuba County and updated expenditures.

There is no change in OCAP.

There is no change in APS.

The Title IV-E Well-Being Project increases reflect the shifting of three months of funding for seven counties from their respective Item numbers that joined the Title IV-E Well-Being Project extension as well as the inclusion of the growth.

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EXPENDITURES:

(in 000s)

FY 2014-15	Total	Title IV-E	Title IV-B	Title XIX	Title XX	TANF	LRF	County
Total Funds	\$5,235,559	\$1,583,945	\$28,998	\$227,250	\$251,262	\$305,206	\$1,676,167	\$1,162,731
Item 101 – FC Grant	825,571	221,465	0	0	23,621	46,673	189,635	344,177
Extended FC Additional Base Funding FY 2012-13**	18,186	0	0	0	0	0	18,186	0
Extended FC Additional Base Funding FY 2013-14**	20,368	0	0	0	0	0	20,368	0
Extended FC Additional Base Funding FY 2014-15**	15,333	0	0	0	0	0	15,333	0
Item 101 – AAP Grant	923,797	400,155	0	0	0	0	381,791	141,851
Item 141 – FC Administration	51,966	27,281	0	0	0	0	20,654	4,031
Item 151 – CWS Administration	1,348,042	234,669	28,998	120,236	166,278	258,533	369,863	169,465
Item 151 – Adoptions Administration	121,416	56,620	0	0	0	0	64,366	430
State Operations Agency Adoptions***	6,039	0	0	0	0	0	6,039	0
Item 151 – OCAP Administration	13,395	0	0	0	0	0	13,395	0
Item 151 – APS Administration	205,293	0	0	107,014	0	0	55,042	43,237
Item 153 – Title IV-E Well-Being Project	1,686,153	643,755	0	0	61,363	0	521,495	459,540

**SB 1020 increased the Protective Services Subaccount funding base for the After 18 Program by \$53.9 million over three FYs; for additional information, refer to CFL 12-13-16. The additional base funding is reflected as a non-add line in the Detail Tables but included in the 2011 Realignment total.

***State Operations Agency Adoptions costs are not included in CDSS' Local Assistance budget but are included in the 2011 Realignment total.

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EXPENDITURES (CONTINUED):

(in 000s)

FY 2015-16	Total	Title IV-E	Title IV-B	Title XIX	Title XX	TANF	LRF	County
Total Funds	\$5,470,627	\$1,718,825	\$30,793	\$247,481	\$251,225	\$305,247	\$1,676,167	\$1,240,889
Item 101 – FC Grant	774,560	208,654	0	0	21,394	46,673	172,052	325,787
Extended FC Additional Base Funding FY 2012-13**	18,186	0	0	0	0	0	18,186	0
Extended FC Additional Base Funding FY 2013-14**	20,368	0	0	0	0	0	20,368	0
Extended FC Additional Base Funding FY 2014-15**	15,333	0	0	0	0	0	15,333	0
Item 101 – AAP Grant	938,086	410,654	0	0	0	0	381,791	145,641
Item 141 – FC Administration	48,153	25,203	0	0	0	0	19,218	3,732
Item 151 – CWS Administration	1,453,444	307,842	30,793	140,467	163,221	258,574	334,333	218,214
Item 151 – Adoptions Administration	122,361	57,564	0	0	0	0	64,366	431
State Operations Agency Adoptions***	6,039	0	0	0	0	0	6,039	0
Item 151 – OCAP Administration	13,395	0	0	0	0	0	13,395	0
Item 151 – APS Administration	205,293	0	0	107,014	0	0	55,042	43,237
Item 153 – Title IV-E Well-Being Project	1,855,409	708,908	0	0	66,610	0	576,044	503,847

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