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CHAPTER 47-400 PAYMENT FOR CHILD CARE SERVICES

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CHAPTER 47-400 PAYMENT FOR CHILD CARE SERVICES

47-401 CHILD CARE PAYMENT LIMITS

.1 Maximum Payment Rate
The maximum payment rate for all Stage One child care shall be consistent with the rate established by the California Department of Education and as specified by the Budget Act of 2006, Chapter 47 Statutes of 2006, Item 6110-196-0001, Provision 2(b).

.11 The maximum payment rate for all child care costs shall be up to the 85th percentile of the market rates charged by providers who offer the same type of child care for the same age child in the region where care is provided.

.12 Refer to the California Code of Regulations, Title 5, Division 1, Chapter 19, Subchapter 2.5, Sections 18074 to 18076.3 for the Utilization of the Regional Market Rate Ceiling.

.13 Payment Basis
Counties shall calculate payments for child care on a monthly basis, weekly basis, daily basis or hourly basis, depending on the client's needs and the contractual terms used by the child care provider to charge other members of the public receiving the same services.

.2 Payment Limits
Payment for Stage One child care services shall not exceed the fee charged to other members of the public receiving the same service.

.21 Exception to Payment Limits
Counties shall not be bound by the rate limit described in Section 47-401.1 when there are, in the region, no more than two child care providers of the type needed by the recipient of child care services provided under this Division.
.3 Co-Payment

A client may choose a child care provider who charges a fee higher than the maximum payment rate. The county shall not pay those child care costs that are in excess of the maximum payment rate. The client is responsible for those costs.

.4 Hours of Care

The county shall pay for child care on behalf of the client during the following times:

.41 Participation Hours

When a client is participating in county-approved activities;

.42 Work Hours

When the client is working;

.43 Commute Hours

When the client commutes;

.44 Ill Child

When a child is ill and requires care from an alternate child care provider;

.45 Services Provided During Excused Absences

During excused absences of the child or the client.

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.451 Excused Absences

Excused absences may include, but are not limited to, illness or quarantine of the child or client, court ordered visitations, family emergencies, or court appearances.

.452 Fixed Schedule

Payment for absences may include payments to the child care provider who has a policy that child care is on a fixed schedule, whether the child attends or not.

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HANDBOOK ENDS HERE

.46 Other Required Activities

At county options when care is necessary for other required activities.
47-401 CHILD CARE PAYMENT LIMITS

.5 Exceptions to Hours of Care

The county shall not pay for child care during:

.51 School Attendance

Time the child is attending school; or

.52 Other Subsidized Programs

Time the child is receiving care in other subsidized child care programs except for the condition described in Sections 47-401.44 and .45 above.

.6 Registration Fee

The county shall pay registration fees charged by child care providers, as long as these fees are the same as those charged to other members of the public for the same purpose.

.61 Maximum Payment Rate

The registration fee plus the regular charge for child care for that month shall not exceed the maximum payment rate.

.7 Family Fees

Family fees, if any, shall be based on the fee schedule established by the State and shall be paid by the client, at county option, directly to the child care provider or the county.

.71 Exemption From Family Fee

The county shall exempt from the family fee any client whose child or children are:

.711 Children at Risk

At risk of abuse, neglect, or exploitation, as determined by a legally qualified professional from a legal, medical, or social services agency, or emergency shelter, for a period of up to three months; or

.712 Children Receiving Child Protective services

Receiving child protective services, at the request of the child welfare services worker, for a period of up to 12 months; or

.713 Children Receiving CalWORKs Cash Aid

Receiving CalWORKs cash aid.

.714 Cumulative Exemption Period.

The cumulative time period for the fee exemption for families specified in Sections 47-401.711 and .712 shall not exceed 12 months.
.8 Family Fee Schedule

The Family Fee Schedule is established by the Superintendent of Public Instruction pursuant to Education Code Section 8273. Refer to the California Code of Regulations, Title 5, Division 1, Chapter 19, Subchapter 3, Article 5 for the utilization of the family fee schedule.

NOTE: Authority cited: Sections 10553 and 10554, Welfare and Institutions Code. Reference: 42 U.S.C. 9858c, 45 CFR 98.43; Budget Act of 2006, Chapter 47, Statutes of 2006, Item 6100-196-0001, Provision 2(b); Sections 8202(g)(3), 8208, 8208.1, 8221, 8222, 8263, 8351, 8357, and 8447(g), Education Code; And Sections 11320.3, 11323.2, 11323.4 and 11323.8, Welfare and Institutions Code.

47-420 PAYMENT OF CHILD CARE COSTS

.1 Child Care Payments to Providers

The county shall issue child care payments on behalf of the client directly to the child care provider except as provided in Section 47-420.2.

.11 Timing of Payments to Providers

At county option, the child care provider may be paid in advance or after the services are provided, depending on how the child care provider charges the public for the same services.

.2 Child Care Payments to Clients

Child care payments may be made directly to the client, as the employer, if child care is provided in the home of the client. The county shall inform the client of his/her corresponding legal and financial reporting requirements.

.21 Information Needed for Payment

The county shall issue a child care payment only when the client provides the following information on a monthly basis:

.211 Hours of Care

Number of hours of care given per child.

.212 Cost Per Child

Cost per child as verified by the child care provider's rate schedule.

.213 Signatures

Signatures provided by both the child care provider and the client under penalty of perjury, verifying the accuracy of the information.
.3 Notices for Payment

The county shall notify the client whenever there is an approval, denial, change or discontinuance in the amount of subsidy paid by the county for child care, as required by Sections 22-001(a)(1), 22-001(t)(1), 22-071 and 22-072.

.31 State Hearings

Notices for payment of child care shall contain information on the client's right to a state hearing as required by Sections 22-001(a)(1) and 22-071.1.

.32 Child Care Pending the Hearing Decision

When a client requests a hearing within the period of timely notification (see Section 22-022.5) to appeal a suspension, reduction, or termination of CalWORKs child care, or a change in the method of providing such services, the client shall be entitled to a continuation of CalWORKs child care in the same amount or form pending the hearing decision. The client shall not be entitled to such a continuation when the basis of action appealed is the health and safety of the child, including failure of the provider to satisfy health and safety requirements pursuant to Section 47-630, failure of the provider to obtain Trustline Registry pursuant to Chapter 47-600, or if the provider is denied payment pursuant to Section 47-420.4.

.4 Denial of Payment

The county shall deny payment for child care services that are exempt from licensure if the child care case file contains credible information that the provider has been convicted of a crime specified in the Penal Code Sections referenced in Health and Safety Code Section 1596.871(f)(1).

NOTE: Authority cited: Sections 10553 and 10554, Welfare and Institutions Code. Reference: 45 CFR 98.43; Sections 8352 and 8357, Education Code; Section 1596.871(f)(1), Health and Safety Code; Sections 10950, 11054, 11323.2, 11323.8, and 11324(c), Welfare and Institutions Code.
.1 Intent

It is the intent of the Legislature that all CalWORKs clients be aware of their potential liability for child care payment, and that child care providers be promptly paid for their services to eligible families.

.2 Retroactive Payment Limit

(a) License-exempt child care providers who are required to be Trustline registered. After February 1, 2008, clients shall be entitled to receive retroactive payment for up to 120 calendar days from the date child care services were requested and services were provided if the provider subsequently becomes Trustline registered. The county may issue retroactive child care payments on behalf of the client directly to the provider.

(b) The retroactive payments shall be made by the county or the contracted payment agency to either the client, as the provider’s employer, if care is provided in the home of the client, or to the provider. The retroactive payment shall be made for up to the first 120 calendar days from the date child care services were requested and services were provided.

.21 Payment Limit Application

Each time the client chooses a new child care provider, the retroactive payment limit shall be applied based on the date the client notified the county that they changed providers. However, the county is not required to provide the client with an informing notice each time the client changes providers.
CALWORKS CHILD CARE

Regulations

PAYMENT FOR CHILD CARE SERVICES

47-430  RETROACTIVE PAYMENTS (Continued)  47-430

(a) Licensed, Trustline-Exempt Provider, or Existing Trustline-Registered Providers. Payments for child care services shall not be made for services provided more than 30 calendar days prior to the client’s request for child care if the client case file contains a copy of the informing notice signed by the client within the last year or a notation that the client refused to sign and/or return the informing notice within the last year.

.22 Payment Limit Exemption

The limit on retroactive payment shall not apply to retroactive payment claims submitted by the client prior to the date he or she first signed or refused to sign and/or return an informing notice.

.3 Former CalWORKs Clients

Section 47-430 shall apply to former CalWORKs clients who receive child care services in Stage One.

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### 47-440 OVERPAYMENTS AND UNDERPAYMENTS

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<td>Collection of Overpayments&lt;br&gt;The county shall collect overpayments if it determines that it is administratively cost effective.</td>
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<td>Offset of Overpayments&lt;br&gt;The county shall not offset the child care overpayment against the CalWORKs grant unless the recipient agrees or volunteers to submit to such an offset.</td>
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<td>Services Provided During Periods of Ineligibility&lt;br&gt;If payments are made to a child care provider for child care during a period when the client was not eligible for child care because the client was not participating in county-required activities, the client is responsible for the erroneous payment.</td>
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<td>.14</td>
<td>Overpayments to Providers&lt;br&gt;In those cases in which the child care provider is paid for child care services that were not provided, the child care provider is responsible for the overpayment.</td>
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<td>.15</td>
<td>Deferring Overpayment Collection&lt;br&gt;The county shall have the option to defer the collection and recovery of an overpayment if the collection and recovery would result in the disruption of child care arrangements, preclude participation in county-approved activities, or prevent employment.</td>
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<td>.16</td>
<td>Tracking and Collection of Overpayments&lt;br&gt;The county shall identify and track any overpayments involving clients or child care providers.</td>
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<td>Overpayments Resulting From Fraud&lt;br&gt;Overpayments resulting from suspected fraud on the part of the client or the child care provider shall be referred for investigation, subject to the county's criteria for fraud referrals.</td>
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## CHAPTER 47-600 TRUSTLINE REGISTRY AND HEALTH AND SAFETY REQUIREMENTS

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.1 Introduction

The Trustline Registry system, hereafter referred to as "Trustline," is a registry of license-exempt child care providers, including those who care for children eligible for CalWORKs Stage One child care, whose Trustline application, upon completion of a background check, has been approved. Specified license-exempt child care providers, after submission of a completed Trustline application and fingerprints must be registered Trustline child care providers as defined in Health and Safety Code Section 1596.605(b)(1) in order to receive subsidized payment for CalWORKs child care services.

To help ensure the health, safety, and welfare of a child(ren) within a child care arrangement, specified license-exempt child care providers must also meet the Health and Safety requirements of this Chapter to prevent and control infectious diseases and provide building and physical premises safety.
In addition to Section 47-110(e)(2), the following definitions shall apply:

a. (Reserved)
b. (Reserved)
c. (Reserved)
d. (Reserved)
e. (Reserved)
f. (Reserved)
g. (Reserved)
h. (1) Health and Safety Requirements

Health and Safety Requirements - means the completion of: 1) the Health and Safety Self-Certification, CCP 4, which certifies that the home where child care is provided meets basic health and safety standards, including the prevention and control of infectious diseases; and 2) the Health and Safety Facility Checklist, CCP 6, which provides parents with a list of suggested questions to ask their child care provider to help ensure that the building and physical premises where child care is provided is a safe and healthy place for their child(ren).
r. (1) Registered Trustline Child Care Provider

Upon completion of the searches of the state summary criminal history information and the child abuse index, and, if applicable, the records of the Federal Bureau of Investigations, and if grounds do not exist for denial pursuant to Health and Safety Code Section 1596.607, the provider shall be known as a “registered Trustline child care provider.”

s. (Reserved)

t. (1) Trustline Registry

Trustline Registry - means a computer based registry of license-exempt child care providers, including providers who care for the children of parents eligible for subsidized child care, members of the public who choose to voluntarily apply, employment agency placements, and transport escort services person, who have had a background check to ensure that the child care providers have no disqualifying criminal convictions, substantiated reports of child abuse, certain arrests that may pose a risk to the health and safety of children in care, a past revocation of a license issued by the Department of Social Services or certificate to be a certified family home, a past exclusion from a licensed facility or a past denial of an application for licensure or certification of approval to be a certified family home.

u. (Reserved)

v. (Reserved)

w. (Reserved)

x. (Reserved)

y. (Reserved)

z. (Reserved)

## TRUSTLINE REGISTRY PAYMENT ELIGIBILITY FOR LICENSE-EXEMPT CHILD CARE PROVIDERS

Repealed by Manual Letter No. EAS-08-01, effective 2/1/08.

### TRUSTLINE REGISTRY APPLICATION REQUIREMENTS

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All clients requesting child care by a provider who is subject to Trustline, shall be provided a Trustline Registry application package, which includes a Trustline Registry application, prior conviction statement, and LiveScan forms and instructions.

The provider shall submit a completed Trustline application package within seven calendar days, or as soon as possible, from the date they began to provide child care services to the local child care resource and referral program (R & R) for processing.

It is important that the provider submit a completed Trustline application package as soon as possible after child care services are provided. A client who selects a license-exempt provider who is required to be registered with Trustline shall receive retroactive payments for up to 120 calendar days from the date child care services were requested and the services were provided, only if the provider subsequently becomes registered with Trustline. Retroactive payments may be made directly to the child care provider as reimbursement for child care services provided, but in no event would payment exceed 120 calendar days regardless of the number of actual days care was provided.

The county or contracted payment agency shall issue child care payments only after the license-exempt provider has become a registered Trustline child care provider as defined in Section 47-602(r)(1).
.3 Provider Reimbursement Limit

.31 County Responsibility

The county or contracted payment agency shall pay the provider, once he/she is registered with Trustline, for child care services provided for up to the first 120 calendar days from the first day that CalWORKs child care services were requested and received. Child care payments may be made directly to the client, as the provider’s employer, if child care is provided in the home of the client.

.32 Client Responsibility

The client is responsible for any child care costs incurred until such a time as the provider becomes Trustline registered.

.4 Denial or Discontinuance of Payment

The county or contracted payment agency shall deny or discontinue payment for child care services, as applicable, if any of the following apply:

.41 Conviction of a Crime

The county has a certified copy of the Court’s judgment of conviction as evidence that the provider has been convicted of a crime specified in subdivision (f)(1)(A) and (B) of Section 1596.871 of the Health and Safety Code.

.42 Case File Closed

The Trustline case file is closed.

.43 Registration Revoked

The Trustline registration is revoked.

.44 Failure to be Trustline Registered

The Trustline Registry application is denied.

.5 Discontinuance of Payment

Upon notification that a provider has subsequently been convicted of a crime as specified in subdivision (f)(1) of Section 1596.871 of the Health and Safety Code, the county or contractor shall, within two business days, discontinue payment.

.6 Immediate Notification to the Client

Upon receipt that the Trustline Registry application has been denied, the case file closed, registration is revoked, or registration is approved, the county or contractor shall immediately notify the client.
.7 Timely Notice of Action

Counties shall issue a timely Notice of Action [see MPP Section 22-001t.(1)] prior to discontinuing child care payment.


.1 Health and Safety Requirements for License-Exempt Child Care Providers

To be eligible for CalWORKs child care, all license-exempt child care providers in a private residence shall complete a Health and Safety Self-Certification with the parent of the child(ren) to be placed in care. This document shall be returned to the county within seven calendar days, or as soon as possible, from the first day that CalWORKs child care services began. The following are exempt from this requirement:

.11 Exemptions

Aunts, uncles, and grandparents, of the child(ren) in care, by blood, marriage or court decree.

.2 Health and Safety Facility Checklist

The county shall provide a Health and Safety Facility Checklist to the participant that assists the parent in determining the home where care is to be provided is a safe and healthy place for the child(ren).

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DIVISION 48 RECORDS

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DIVISION 48 RECORDS

CHAPTER 48-000 RECORDS-GENERAL

48-001 COUNTY DEPARTMENT RESPONSIBILITY FOR RECORDS

.1 The county shall maintain a record for each applicant and recipient which identifies each individual and family, their address and household composition for CalWORKs. The record shall identify each child and his/her parents, their address and household composition. (See Section 20-005 on record requirements for fraud cases.) The record shall also include:

.11 Records - Eligibility and Grant

.111 The appropriate Form SAWS 2 completed by or on behalf of the applicant.

.112 All evidence obtained to support the linking and the nonlinking factors of eligibility. The county may maintain a copy or a written record of the type of evidence and its pertinent content where the evidence has been returned to the applicant.

.113 The original or a copy of pertinent forms completed during the determination or redetermination of eligibility, i.e., budget work sheets, authorizations, forms relating to intercounty transfers, etc.

.114 The basis for county action granting, denying, changing, not changing following a recipient mid-period report, delaying, cancelling, or discontinuing aid.

.115 The computation of any overpayment and the basis for a conclusion that the overpayment is or is not subject to adjustment or repayment. If the overpayment is subject to repayment, a copy of any demands for repayment, the facts regarding the determination of the debtor's ability to repay and collection activity (unless this information is recorded centrally elsewhere).

.116 The worker's evaluation of the applicant's ability or inability to understand his/her program rights and responsibilities.

.12 Records - Intraprogram Status Change

.121 A record of any intraprogram status change as provided in Section 40-183.
48-001 COUNTY DEPARTMENT RESPONSIBILITY FOR RECORDS (Continued) 48-001

.13 Records - Care in a Medical Institution

.131 For the recipient who is a patient in a public or private medical institution, all pertinent information to support the determination of eligibility or ineligibility. (Chapter 42-500.)

.14 Case Records - Certification for Medi-Cal

.141 A record of the certification and each recertification of the recipient for medical care under the Medi-Cal Program.

.15 Case Records - Narrative

.151 Each notation or entry in the case record whether it be on a form or supplemental narrative shall be dated and the person making the entry shall be identified.


48-003 RETURN OF PERSONAL DOCUMENTS 48-003

.1 Personal documents shall be returned to the applicant after the pertinent information has been copied or recorded.

48-005 ASSIGNMENT OF STATE NUMBERS 48-005

.1 A state number shall be assigned to each application. (See Sections 23-250 and 23-275.)