

September 28, 2016

TO: COUNTY WELFARE DIRECTORS
COUNTY FISCAL OFFICERS
COUNTY REFUGEE COORDINATORS FOR ALAMEDA, LOS ANGELES,
ORANGE, SACRAMENTO, SAN DIEGO, SAN FRANCISCO,
SANTA CLARA, AND STANISLAUS COUNTIES

SUBJECT: FINAL ALLOCATIONS FOR THE FEDERAL FISCAL YEAR
(FFY) 2016 FUNDING FOR THE TARGETED ASSISTANCE (TA) FORMULA
GRANT (FIRST INCREMENT)

REFERENCE: CATALOG OF FEDERAL DOMESTIC ASSISTANCE NO. 93.584

This letter transmits the first increment of final allocations for the FFY 2016 TA Formula grant and informs counties of an adjustment in the TA Formula funding award process.

On September 16, 2016, the federal Office of Refugee Resettlement (ORR) released the final awards for the TA Formula grant to states via ORR Dear Colleague Letter #16-10. A copy of the letter is available on the Funding page of the Refugee Programs Bureau (RPB) web site at <http://www.cdss.ca.gov/refugeeprogram>. California will receive a total of \$4,571,254 in FFY 2016 TA Formula funding, which is \$11,314 more than last year.

TA Formula Funding Award Adjustment

The ORR will award FFY 2016 TA Formula funding to states in two increments. The final award letter referenced above represents the total amount awarded to each county by ORR. However, only the first increment, which is approximately 58 percent of the total anticipated awards to the states, is available for use at this time, as specified in the Dear Colleague Letter. The remaining 42 percent of the funding will be received later in the FFY (subject to the availability of federal funds). In addition, ORR has added additional funding to states to help with the significant number of fourth quarter refugee arrivals. California will receive \$477,756 of this additional funding that will be added to the 58 percent award.

Qualifying Counties and Federal Allocation Methodology

California's FFY 2016 TA Formula grant, in the amount of \$2,851,986 is the sum of the allocations for the TA-eligible counties in the state and represents approximately 58 percent of the state's total anticipated FFY 2016 TA Formula award for FFY 2016 funding and the additional funding that will be added to the 58 percent award, as mentioned above. The ORR re-determines county eligibility for TA once every three years, and FFY 2017 represents the third year of the three-year eligibility cycle. For the current cycle, California's TA-eligible

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counties are Alameda, Los Angeles, Orange, Sacramento, San Diego, San Francisco, Santa Clara, and Stanislaus counties.

For the FFY 2016 TA awards, ORR determined the county allocations based on each county's total number of refugee arrivals during FFYs 2014 and 2015 and calculated approximately 58 percent of the total for the first increment of awards. The ORR based its allocations on arrivals data for the most recent two years to respond to the service needs of the newly arrived, and did not factor in the concentration of refugees in the county, as done in previous years.

The enclosed spreadsheet displays the amount of the ORR's FFY 2016 funding allocations to eligible counties, as well as the maximum amount of each county's allocation that can be expended for state and county administrative purposes. Please note that these amounts represent approximately 58 percent of the total anticipated allocation and the allocations are limited to the funds availability. Counties will be notified of the remaining funding in a separate allocation letter.

Wilson/Fish Alternative Project

The Wilson/Fish Alternative Project is operated in San Diego County by Catholic Charities under direct contract with ORR and serves Refugee Cash Assistance (RCA) type refugees. The ORR determined the Wilson/Fish Project's FFY 2016 TA allocation to be \$292,189. This amount is based on the total number of RCA-type refugee arrivals during FFYs 2014 and 2015 and calculated at approximately 58 percent of the total for the first increment of awards. The Wilson/Fish TA allocation is included in San Diego County's total TA allocation of \$767,647 as determined by ORR for qualifying counties.

Debarment and Suspension Requirements

Federal regulations require that individuals be prohibited from receiving federal financial and non-financial assistance and benefits, if they have been debarred or suspended pursuant to 45 Code of Federal Regulations (CFR) Part 76. Reasons for debarment/suspension may include a conviction for committing fraud or any other crime or action that shows a lack of business integrity (see 45 CFR Part 76.305 for specific debarment/suspension criteria). The debarment/suspension requirements extend to an organization if a debarred/suspended individual is an officer, director, owner or partner, or if the individual has management or supervisory responsibility within the organization.

Counties are required to comply with the debarment and suspension provisions in 45 CFR Part 76 as a condition of receiving TA funding. When arranging TA-funded services through a subgrant or subcontract, counties must verify that the subgrantee or subcontractor is not excluded or disqualified from participation in federal assistance programs by:

- 1) Checking the federal General Services Administration Excluded Parties List System, found at <http://www.sam.gov>, which has the most current information about persons who have been excluded or disqualified for participation in federal programs; and/or

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- 2) Adding a clause to the contract/agreement in which the contractor agrees to certify that he/she and his/her principals are not debarred or suspended from federal financial assistance programs and services. Example: *"For federally funded agreements in the amount of \$25,000 or more, the Contractor certifies that he/she and his/her principals are not debarred or suspended from federal financial assistance programs and activities."*

Federal Funding Terms and Conditions

All recipients and sub-recipients of federal funding, including TA Formula funding, are required to adhere to specific terms and conditions. For information regarding these terms and conditions and requirements, please visit ORR's web site at:

- General Terms and Conditions:
https://www.acf.hhs.gov/sites/default/files/assets/general_terms_and_conditions_mandatory.pdf
- General Terms and Conditions Addendum (TA Funding):
https://www.acf.hhs.gov/sites/default/files/assets/refugee_cma_socserv_tag_tc_addendum.pdf

Should you have any questions regarding this letter, please contact Ms. Camille Ancona, Manager, Funding and Data Unit, at (916) 653-7785 or Camille.Ancona@dss.ca.gov or Ms. Bao Her, Analyst, Funding and Data Unit, at (916) 653-5208 or Bao.Her@dss.ca.gov.

Sincerely,

Original Document Signed By:

KIM JOHNSON, Chief
Child Care and Refugee Programs Branch

Enclosure

c: CWDA

FINAL ALLOCATIONS
TARGETED ASSISTANCE (TA) FORMULA GRANT
FEDERAL FISCAL YEAR 2016
(Grant Period - October 1, 2016 through September 30, 2018)

\$2,851,986 (First Increment) *

(1) County		(2) Grant Number	(3) Total Two-Year Arrivals ² FFYs 2014- 2015	(4) ORR Allocation Based on Arrivals (First Increment)	(5) Final State Administration @ 5% Maximum	(6) Final County Allocation (First Increment)*	(7) Final County Administration @ 15% Maximum	(8) Services
Alameda		TAFO1601	1,422	\$ 180,728	\$ 9,036	\$ 171,692	\$ 25,754	\$ 145,938
Los Angeles		TAFO1602	7,992	\$ 1,015,734	\$ 50,787	\$ 964,947	\$ 144,742	\$ 820,205
Orange		TAFO1603	1,038	\$ 131,923	\$ 6,596	\$ 125,327	\$ 18,799	\$ 106,528
Sacramento		TAFO1604	3,706	\$ 471,009	\$ 23,550	\$ 447,459	\$ 67,119	\$ 380,340
San Diego ¹	County	TAFO1605	6,040	\$ 475,458	\$ 23,773	\$ 451,685	\$ 67,753	\$ 383,932
	Wilson/Fish			\$ 292,189				
San Francisco		TAFO1606	510	\$ 64,818	\$ 3,241	\$ 61,577	\$ 9,237	\$ 52,340
Santa Clara		TAFO1607	1,073	\$ 136,372	\$ 6,819	\$ 129,553	\$ 19,433	\$ 110,120
Stanislaus		TAFO1608	659	\$ 83,755	\$ 4,188	\$ 79,567	\$ 11,935	\$ 67,632
Total			22,440	2,851,986	127,990	2,431,807	364,772	2,067,035

State Administration Funds ³	\$ 127,990
Local Assistance Funds	\$ 2,431,807
Wilson/Fish Funds	\$ 292,189
Total California TA Allocation	\$ 2,851,986

Notes:

¹ The allocation for non-CalWORKs recipients for San Diego County is allocated to a Wilson/Fish Alternative Project. The amount of funding for the project is determined by the federal Office of Refugee Resettlement (ORR) as part of San Diego County's original ORR allocation of \$767,647.

² The ORR arrivals figures include: Refugees, Amerasians, entrants, Havana parolees, Cuban Medical Professional parolees, asylees and Special Immigrant Visa holders. The ORR revised the arrivals data to two years instead of five to respond to the service needs of the newly arrived.

³ State administration funds are five percent of the sum of the ORR Allocations minus the Wilson/Fish Project amount (\$2,559,797).

* Note: Allocation amounts represent approximately 58 percent of the total anticipated awards plus an additional award of \$477,756 total funding from ORR to help with the significant number of fourth quarter refugee arrivals. The remaining 42 percent of funding will be received later in the FFY (subject to the availability of federal funds).

Catalog of Federal Domestic Assistance No. 93.584, Refugee and Entrant Assistance - Targeted Assistance Grants