

FRAUD Investigation Report

July – September 2002

California Department of Social Services
Research and Development Division (RADD)
Data Systems and Survey Design Bureau
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Fraud Investigation Report

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Fraud Investigation Report Summary July – September 2002

In California, fraud prevention and detection is an integral part of the overall management of public assistance programs. The fraud prevention program is administered at the local level by 58 county welfare departments. The California Department of Social Services (CDSS) is responsible for developing regulations and disseminating policy information/interpretation to local entities. Within the county welfare departments, the income maintenance units have primary responsibility for determining eligibility and computing grant amounts. If fraud is suspected, the case is referred for investigation to the Special Investigative Unit (SIU). At the discretion of the county, the SIU may be placed in the county welfare department or within another county law enforcement agency such as the District Attorney's or Sheriff's Office. It is the responsibility of the SIU to conduct an investigation of such cases for the purpose of establishing whether or not evidence exists to support a charge of welfare fraud.

The Fraud Investigation Report provides statewide and county-specific fraud activity data on the California Work Opportunity and Responsibility to Kids (CalWORKs) and Food Stamp programs. Investigation activity by the SIUs is reflected in this report. Data shown represents the most current statistics available at the time of publication.

The following three reports are the data sources for this publication, and are available on the CDSS Research and Development Division (RADD) internet web site at <http://www.dss.cahwnet.gov/research>.

- DPA 266 - Fraud Investigation Activity Report
(July 2002 Version 2 dated November 25, 2002; August 2002 Version 2 dated November 25, 2002; and September 2002 Version 1 dated November 22, 2002) Note: At the time of publication, Modoc County had not reported for July, August and September 2002; and Amador County had not reported for September 2002.
- CA 237 CW - CalWORKs Cash Grant Caseload Movement Report
(July 2002 dated October 9, 2002; August 2002 dated November 20, 2002; and September 2002 dated November 26, 2002)
- DFA 256 - Food Stamp Program Participation and Coupon Issuance Report
(April 2002 dated June 19, 2002; May 2002 dated July 31, 2002; and June 2002 dated August 30, 2002 was used as the July, August and September 2002 reports had not yet been released)

Unless otherwise stated, each data item in the publication is a quarterly rollup of three months of data from the DPA 266 – Fraud Investigation Activity Report.

CalWORKs Statewide Highlights July – September 2002

Investigation Requests

- 44,208 requests for investigation were **received** by California Special Investigative Units (SIUs) during the quarter, with a monthly average during the quarter of 14,736 investigation requests. The monthly average of investigation requests represents 2.9% of the 505,788 CalWORKs statewide caseload (monthly average during July – September 2002).
- 43,102 investigation requests were **accepted** for investigation during the quarter. This is 97% of the 44,208 investigation requests received.
 - ▶ 28,081 (65%) were Early Fraud Prevention/Detection Program (EFP/D) requests.
 - ▶ 6,069 (14%) were investigation requests for other reasons including other unreported income, homeless assistance, unreported resources/assets, duplicate/replaced warrants, duplicate aid, forgery, residence, fictitious identity, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.
 - ▶ 5,056 (12%) were Income and Eligibility Verification System (IEVS) requests.
 - ▶ 3,896 (9%) were family (household) composition requests.
- 121,011 investigations were **available** during the quarter.
 - ▶ 77,909 (64%) of the available investigations were **pending** at the beginning of the quarter (carried over from June 2002).
 - ▶ 43,102 (36%) of the available investigations were **accepted** for investigation during the quarter.

Investigations Completed and the Results

- 44,464 investigations were **completed** during the quarter. This is 37% of the 121,011 total investigations available.
 - ▶ 15,971 (36%) of the completed cases had evidence sufficient to support an allegation of fraud.
 - ▶ 28,493 (64%) of the completed cases did not have evidence sufficient to support an allegation of fraud.
- Of the 44,464 completed investigations, the **results** included:
 - ▶ 7,717 (17%) of the cases were denied, reduced or discontinued due to the Early Fraud Prevention/Detection Program.
 - ▶ 3,839 (9%) of the cases had restitution action taken.
 - ▶ 2,274 (5%) of the cases had fraud found, but with no adverse financial impact.
 - ▶ 1,913 (4%) of the cases were reduced or discontinued in non-Early Fraud cases.
 - ▶ 1,172 (3%) of the cases were referred for prosecution.
 - ▶ 1,117 (3%) of the cases had expiration of statutes.
 - ▶ 244 (1%) of the cases were referred to an Administrative Disqualification Hearing.

Note: Completed investigations could have multiple results. Completed investigations with allegation unfounded, insufficient evidence, and other miscellaneous results included in the 44,464 are not shown in this report.

Prosecution Activity

- 4,766 referrals were **available** to the District Attorney for prosecution during the quarter.
 - ▶ 3,649 (77%) were referrals **pending** at the beginning of the quarter (carried over from June 2002).
 - ▶ 1,117 (23%) were referrals **received** during the quarter.
- Of the 4,766 referrals available to the District Attorney for prosecution consideration during the quarter,
 - ▶ 997 **prosecutions** were filed. This is 21% of the available prosecution referrals.
- Of the 997 prosecutions filed by the District Attorney during the quarter,
 - ▶ 821 **convictions** were obtained. Some convictions obtained are from prosecutions filed in prior quarters.

Overpayments and Collections

- \$5,717,079 **fraud overpayments** were identified during the quarter.
- \$6,243,910 **collections** were made during the quarter.

Food Stamp Statewide Highlights July – September 2002

Investigation Requests

- 61,108 requests for investigation were **received** by California Special Investigative Units (SIUs) during the quarter, with a monthly average during the quarter of 20,369 investigation requests. The monthly average of investigation requests represents 2.9% of the 687,885 Food Stamp statewide caseload (monthly average during April – June 2002).
- 59,722 investigation requests were **accepted** for investigation during the quarter. This is 98% of the 61,108 investigation requests received.
 - ▶ 38,552 (65%) were Early Fraud Prevention/Detection Program (EFP/D) requests.
 - ▶ 9,127 (15%) were investigation requests for other reasons including other unreported income, unreported resources/assets, duplicate/replaced Food Stamps, duplicate aid, forgery, residence, fictitious identity, Food Stamp trafficking, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.
 - ▶ 7,846 (13%) were Income and Eligibility Verification System (IEVS) requests.
 - ▶ 4,197 (7%) were family (household) composition requests.
- 157,742 investigations were **available** during the quarter.
 - ▶ 98,020 (62%) of the available investigations were **pending** at the beginning of the quarter (carried over from June 2002).
 - ▶ 59,722 (38%) of the available investigations were **accepted** for investigation during the quarter.

Investigations Completed and the Results

- 60,505 investigations were **completed** during the quarter. This is 38% of the 157,742 total investigations available.
 - ▶ 21,143 (35%) of the completed cases had evidence sufficient to support an allegation of fraud.
 - ▶ 39,362 (65%) of the completed cases did not have evidence sufficient to support an allegation of fraud.
- Of the 60,505 completed investigations, the **results** included:
 - ▶ 10,884 (18%) of the cases were denied, reduced or discontinued due to the Early Fraud Prevention/Detection Program.
 - ▶ 4,566 (8%) of the cases had restitution action taken.
 - ▶ 2,867 (5%) of the cases had fraud found, but with no adverse financial impact.
 - ▶ 2,553 (4%) of the cases were reduced or discontinued in non-Early Fraud cases.
 - ▶ 1,270 (2%) of the cases were referred for prosecution.
 - ▶ 1,164 (2%) of the cases had expiration of statutes.
 - ▶ 277 (1%) of the cases were referred to an Administrative Disqualification Hearing.

Note: Completed investigations could have multiple results. Completed investigations with allegation unfounded, insufficient evidence, and other miscellaneous results included in the 60,505 are not shown in this report.

Prosecution Activity

- 5,210 referrals were **available** to the District Attorney for prosecution during the quarter.
 - ▶ 3,992 (77%) were referrals **pending** at the beginning of the quarter (carried over from June 2002).
 - ▶ 1,218 (23%) were referrals **received** during the quarter.
- Of the 5,210 referrals available to the District Attorney for prosecution consideration during the quarter,
 - ▶ 1,038 **prosecutions** were filed. This is 20% of the available prosecution referrals.
- Of the 1,038 prosecutions filed by the District Attorney during the quarter,
 - ▶ 879 **convictions** were obtained. Some convictions obtained are from prosecutions filed in prior quarters.

Overissuances and Collections

- \$5,595,814 **fraud overissuances** were identified during the quarter.
- \$2,478,276 **collections** were made during the quarter.

Welfare Fraud Special Investigative Units**July – September 2002**

Below is a listing of the locations of each county's Welfare Fraud Special Investigative Unit(s).

County	County Welfare Department	District Attorney's Office	County Welfare Department and District Attorney's Office	Sheriff's Office
Statewide	27	20	9	2
Alameda			✓	
Alpine	✓			
Amador			✓	
Butte		✓		
Calaveras			✓	
Colusa		✓		
Contra Costa			✓	
Del Norte		✓		
El Dorado	✓			
Fresno		✓		
Glenn	✓			
Humboldt	✓			
Imperial		✓		
Inyo		✓		
Kern		✓		
Kings	✓			
Lake				✓
Lassen				✓
Los Angeles			✓	
Madera		✓		
Marin	✓			
Mariposa	✓			
Mendocino	✓			
Merced		✓		
Modoc		✓		
Mono		✓		
Monterey			✓	
Napa	✓			
Nevada	✓			
Orange		✓		
Placer	✓			
Plumas	✓			
Riverside	✓			
Sacramento	✓			
San Benito	✓			
San Bernardino	✓			
San Diego		✓		
San Francisco			✓	
San Joaquin		✓		
San Luis Obispo	✓			
San Mateo	✓			
Santa Barbara		✓		
Santa Clara		✓		
Santa Cruz	✓			
Shasta		✓		
Sierra	✓			
Siskiyou	✓			
Solano	✓			
Sonoma	✓			
Stanislaus	✓			
Sutter			✓	
Tehama		✓		
Trinity	✓			
Tulare		✓		
Tuolumne	✓			
Ventura		✓		
Yolo			✓	
Yuba	✓			

CaIWORKs

Tables

TABLE 1
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
FRAUD INVESTIGATIONS BY SPECIAL INVESTIGATIVE UNITS
July – September 2002

County	CalWORKs Caseload ^{a/}	Investigation Requests		Investigations Completed	Evidence to Support an Allegation of Fraud		Investigations Pending End of Quarter ^{b/}
		Received	Accepted		Sufficient	Not Sufficient	
Statewide	505,788	44,208	43,102	44,464	15,971	28,493	76,340
Alameda	16,885	1,058	1,051	1,005	554	451	1,487
Alpine	31	3	3	3	0	3	9
Amador	242	29	29	22	16	6	0
Butte	4,502	464	454	499	187	312	588
Calaveras	396	148	148	148	31	117	3
Colusa	200	19	19	15	2	13	73
Contra Costa	7,963	418	402	424	218	206	406
Del Norte	770	53	53	41	9	32	121
El Dorado	984	160	160	120	49	71	425
Fresno	22,840	1,055	945	1,095	473	622	1,799
Glenn	470	174	174	182	37	145	157
Humboldt	2,118	90	90	82	33	49	189
Imperial	4,230	243	243	265	211	54	408
Inyo	114	1	1	0	0	0	2
Kern	16,747	2,735	2,695	2,678	1,056	1,622	1,084
Kings	2,451	761	761	610	162	448	709
Lake	1,548	121	121	123	73	50	89
Lassen	437	95	95	106	21	85	21
Los Angeles	186,238	7,703	7,332	7,816	2,958	4,858	37,517
Madera	3,177	683	683	717	135	582	85
Marin	810	117	117	78	58	20	341
Mariposa	185	9	9	9	4	5	36
Mendocino	1,483	100	98	117	53	64	276
Merced	5,812	768	768	634	280	354	850
Modoc	191	0	0	0	0	0	0
Mono	57	1	1	0	0	0	1
Monterey	4,413	227	203	305	71	234	2,194
Napa	414	29	29	22	8	14	209
Nevada	539	38	31	19	9	10	72
Orange	19,766	2,836	2,804	2,579	1,358	1,221	4,038
Placer	1,272	44	44	57	22	35	102
Plumas	167	29	29	29	18	11	12
Riverside	20,402	5,568	5,564	5,640	928	4,712	1,367
Sacramento	29,881	2,190	2,002	2,865	1,155	1,710	4,238
San Benito	550	49	49	43	26	17	27
San Bernardino	37,167	2,666	2,653	2,794	685	2,109	1,774
San Diego	26,690	5,587	5,358	4,974	1,619	3,355	3,315
San Francisco	6,471	356	356	357	267	90	1,742
San Joaquin	12,908	219	219	208	143	65	374
San Luis Obispo	1,616	151	151	139	79	60	194
San Mateo	1,848	154	154	144	63	81	118
Santa Barbara	3,832	160	160	154	57	97	248
Santa Clara	12,032	655	645	715	290	425	729
Santa Cruz	1,568	555	555	542	97	445	55
Shasta	3,335	268	268	271	190	81	927
Sierra	30	8	8	8	0	8	8
Siskiyou	736	283	283	291	151	140	69
Solano	3,980	1,211	1,194	1,413	622	791	2,500
Sonoma	2,476	130	130	361	120	241	1,253
Stanislaus	8,426	874	864	853	505	348	1,503
Sutter	1,107	303	303	309	158	151	108
Tehama	1,228	68	68	81	23	58	26
Trinity	200	26	26	25	3	22	23
Tulare	11,291	1,253	1,251	1,243	157	1,086	1,873
Tuolumne	673	224	215	266	121	145	76
Ventura	5,658	181	181	144	57	87	192
Yolo	2,213	227	222	212	108	104	255
Yuba	2,016	631	631	612	241	371	43

a/ Effective with the January 2002 report month, the source form (CA 237 CW) was changed to collect families data from Two Parent Families, Zero Parent Families, All Other Families and TANF Timed-Out Cases. The data is a monthly average for the quarter. NOTE: County numbers do not add to Statewide total due to rounding.

b/ Data is from DPA 266 September 2002 Item 7 Investigations pending at end of month. The investigations pending numbers include county case adjustments that occurred during the quarter. NOTE: The statewide total of Investigations pending at the *beginning* of the quarter, from DPA 266 July 2002 Item 4, was 77,909.

TABLE 2
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
REQUESTS ACCEPTED FOR INVESTIGATION BY PRIMARY ELEMENT OF FRAUD
July - September 2002

County	Early Fraud Prevention/ Detection	Income and Eligibility Verification System	Family (Household) Composition	Other Unreported Income	Residence	Unreported Resources/ Assets	Other ^{a/}
Statewide	28,081	5,056	3,896	1,757	807	452	3,053
Alameda	487	334	77	36	6	12	99
Alpine	2	0	1	0	0	0	0
Amador	0	1	6	9	1	4	8
Butte	11	14	164	57	34	6	168
Calaveras	113	0	15	4	4	3	9
Colusa	0	0	8	6	0	1	4
Contra Costa	221	62	17	23	1	1	77
Del Norte	7	5	18	8	5	3	7
El Dorado	34	27	37	22	12	1	27
Fresno	344	182	147	164	23	8	77
Glenn	89	0	30	15	20	3	17
Humboldt	45	0	25	7	5	0	8
Imperial	163	1	31	10	23	3	12
Inyo	0	0	0	0	0	1	0
Kern	1,232	57	420	119	31	31	805
Kings	642	3	50	18	13	3	32
Lake	3	12	55	11	17	3	20
Lassen	53	0	22	0	2	17	1
Los Angeles	4,719	1,971	188	124	0	62	268
Madera	553	18	41	21	0	1	49
Marin	11	85	9	8	1	0	3
Mariposa	2	2	3	0	1	0	1
Mendocino	14	19	22	24	6	6	7
Merced	434	117	108	56	11	3	39
Modoc	0	0	0	0	0	0	0
Mono	0	0	0	1	0	0	0
Monterey	111	1	14	11	5	1	60
Napa	0	0	12	9	4	1	3
Nevada	5	2	10	6	4	1	3
Orange	2,305	269	69	45	3	10	103
Placer	3	3	14	9	10	1	4
Plumas	14	5	8	2	0	0	0
Riverside	5,024	194	179	45	9	6	107
Sacramento	1,369	178	146	109	33	10	157
San Benito	18	8	2	9	1	1	10
San Bernardino	1,018	22	794	214	260	106	239
San Diego	4,668	325	148	90	29	5	93
San Francisco	117	187	0	6	1	0	45
San Joaquin	136	27	12	10	0	10	24
San Luis Obispo	56	4	45	8	8	4	26
San Mateo	42	8	44	36	4	2	18
Santa Barbara	38	14	46	15	9	3	35
Santa Clara	298	185	48	55	7	9	43
Santa Cruz	318	207	15	8	1	2	4
Shasta	94	4	115	18	22	4	11
Sierra	0	1	6	0	1	0	0
Siskiyou	81	0	56	7	60	70	9
Solano	976	106	55	35	4	1	17
Sonoma	56	19	25	13	0	2	15
Stanislaus	157	269	259	47	54	4	74
Sutter	203	3	24	25	7	5	36
Tehama	25	0	14	20	5	1	3
Trinity	11	1	6	2	5	1	0
Tulare	860	72	134	99	12	0	74
Tuolumne	168	2	30	7	1	1	6
Ventura	148	0	1	4	0	10	18
Yolo	88	20	39	22	15	9	29
Yuba	495	10	32	28	17	0	49

a/ "Other" includes homeless assistance, duplicate warrants, duplicate (multiple) aid, forgery, fictitious identity, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.

TABLE 3
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
INVESTIGATION RESULTS^{a/}
July – September 2002

County	Early Fraud (Denials/Benefits Reduced/ Discontinuances)	Referred for		Restitution Action	Benefits Reduced or Discontinued (Non-Early Fraud)	Fraud Found, No Adverse Financial Impact	Expiration of Statutes
		Prosecution	Administrative Disqualification Hearing				
Statewide	7,717	1,172	244	3,839	1,913	2,274	1,117
Alameda	274	136	0	108	2	34	44
Alpine	0	0	0	0	0	0	0
Amador	0	2	0	0	10	4	0
Butte	67	28	1	16	69	6	60
Calaveras	23	0	0	0	6	2	3
Colusa	0	0	0	0	2	0	0
Contra Costa	71	23	0	50	0	74	0
Del Norte	1	2	0	1	4	1	0
El Dorado	8	0	0	28	7	49	0
Fresno	110	58	127	95	79	4	149
Glenn	14	0	0	4	15	4	0
Humboldt	12	1	0	9	14	2	0
Imperial	8	1	0	2	17	7	0
Inyo	0	0	0	0	0	0	0
Kern	573	95	1	69	200	118	0
Kings	141	6	0	0	12	3	0
Lake	2	6	0	28	33	16	0
Lassen	3	9	0	0	7	2	0
Los Angeles	1,521	197	0	841	35	968	0
Madera	4	13	0	22	85	11	0
Marin	7	0	0	36	21	9	4
Mariposa	2	1	0	0	1	0	1
Mendocino	1	3	0	48	0	1	11
Merced	21	52	0	73	99	20	0
Modoc	0	0	0	0	0	0	0
Mono	0	0	0	0	0	0	0
Monterey	28	4	4	5	12	18	5
Napa	0	0	0	3	1	4	0
Nevada	3	4	0	1	1	0	0
Orange	753	51	28	339	397	200	7
Placer	0	3	0	4	15	0	0
Plumas	3	0	0	5	3	9	0
Riverside	668	62	0	178	0	12	0
Sacramento	572	83	25	438	35	2	401
San Benito	4	2	0	6	14	0	0
San Bernardino	214	57	4	165	295	83	0
San Diego	1,168	61	40	383	8	68	2
San Francisco	101	5	0	18	23	0	54
San Joaquin	81	40	0	4	5	15	0
San Luis Obispo	14	3	1	8	40	0	4
San Mateo	28	3	0	19	13	0	0
Santa Barbara	17	7	0	22	17	8	0
Santa Clara	115	39	0	126	5	5	147
Santa Cruz	81	4	0	7	5	0	0
Shasta	37	10	0	11	36	96	2
Sierra	0	0	0	0	0	0	0
Siskiyou	14	1	0	15	58	63	0
Solano	329	13	0	230	5	45	10
Sonoma	55	5	8	88	22	74	193
Stanislaus	87	15	3	245	138	50	0
Sutter	43	1	0	8	8	101	0
Tehama	5	1	2	3	3	9	0
Trinity	3	0	0	0	0	0	0
Tulare	108	19	0	27	1	2	19
Tuolumne	72	2	0	7	16	27	0
Ventura	53	4	0	0	0	0	1
Yolo	20	22	0	25	13	28	0
Yuba	178	18	0	19	6	20	0

a/ This table reflects the outcome of an investigation. In some instances an investigation may have more than one outcome reported. Therefore, the data displayed on this table may not correspond to the numbers on Table 1.

TABLE 4
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
DISTRICT ATTORNEY PROSECUTION ACTIVITY
July – September 2002

County	Referrals Received	Referrals Available ^{a/}	Prosecutions Filed	Decision Made Not to Prosecute
Statewide	1,117	4,766	997	201
Alameda	136	505	135	11
Alpine	0	0	0	0
Amador	0	0	0	0
Butte	24	37	15	1
Calaveras	0	0	0	0
Colusa	0	0	0	0
Contra Costa	19	83	11	3
Del Norte	2	9	3	1
El Dorado	0	0	0	0
Fresno	58	104	49	2
Glenn	0	0	0	0
Humboldt	1	1	1	0
Imperial	2	29	3	0
Inyo	0	0	0	0
Kern	95	167	55	9
Kings	6	19	12	2
Lake	6	28	0	2
Lassen	2	3	2	0
Los Angeles	197	462	173	96
Madera	13	13	13	0
Marin	0	1	0	0
Mariposa	1	1	1	0
Mendocino	3	23	0	0
Merced	52	590	18	0
Modoc	0	0	0	0
Mono	0	0	0	0
Monterey	4	38	1	1
Napa	0	33	7	0
Nevada	4	6	4	0
Orange	42	105	39	9
Placer	3	50	2	0
Plumas	0	10	2	0
Riverside	53	105	66	0
Sacramento	83	581	86	14
San Benito	2	3	1	1
San Bernardino	57	478	56	0
San Diego	55	462	72	0
San Francisco	5	95	4	1
San Joaquin	40	120	14	2
San Luis Obispo	3	3	3	0
San Mateo	3	92	5	1
Santa Barbara	7	7	4	3
Santa Clara	39	39	34	0
Santa Cruz	4	4	4	0
Shasta	10	13	10	0
Sierra	0	0	0	0
Siskiyou	1	5	1	0
Solano	13	299	25	40
Sonoma	5	15	4	1
Stanislaus	15	15	15	0
Sutter	1	1	1	0
Tehama	0	0	0	0
Trinity	0	0	0	0
Tulare	19	19	19	0
Tuolumne	2	13	0	1
Ventura	4	18	4	0
Yolo	22	22	22	0
Yuba	4	40	1	0

a/ Data is from DPA 266 July 2002 Item 23 Referrals pending beginning of month plus July, August, and September 2002's Item 24 Referrals received during the month.

TABLE 5
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
PROSECUTION OUTCOMES^{a/}
July – September 2002

County	Convictions	Administrative Action	Disqualification Consent Agreements	Charge Pleaded	Dismissals	Acquittals	Declinations	Expiration of Statutes
Statewide	821	87	127	70	179	5	75	2
Alameda	95	80	80	0	90	0	11	0
Alpine	0	0	0	0	0	0	0	0
Amador	0	0	0	0	0	0	0	0
Butte	10	0	14	0	2	0	0	0
Calaveras	0	0	0	0	0	0	0	0
Colusa	0	0	0	0	0	0	0	0
Contra Costa	5	0	0	5	5	0	4	0
Del Norte	3	0	0	0	0	0	1	0
El Dorado	0	0	0	0	0	0	0	0
Fresno	83	2	0	0	5	0	0	0
Glenn	0	0	0	0	0	0	0	0
Humboldt	6	0	4	0	3	0	0	0
Imperial	0	0	0	0	0	0	0	0
Inyo	0	0	0	0	0	0	0	0
Kern	18	0	0	0	2	0	5	0
Kings	22	0	1	0	4	0	1	0
Lake	0	0	0	2	0	0	0	2
Lassen	0	0	0	0	0	0	0	0
Los Angeles	137	0	0	0	20	0	0	0
Madera	20	0	6	0	0	0	0	0
Marin	1	0	0	0	0	0	0	0
Mariposa	0	0	0	0	0	0	0	0
Mendocino	2	0	0	2	2	0	0	0
Merced	14	0	0	0	2	0	2	0
Modoc	0	0	0	0	0	0	0	0
Mono	0	0	0	0	0	0	0	0
Monterey	0	0	0	1	5	0	0	0
Napa	5	0	0	0	2	0	0	0
Nevada	2	0	0	0	0	0	0	0
Orange	41	5	0	0	4	4	0	0
Placer	0	0	1	0	1	0	0	0
Plumas	0	0	0	0	0	0	0	0
Riverside	45	0	0	59	3	0	0	0
Sacramento	67	0	0	0	0	0	7	0
San Benito	0	0	1	0	0	0	0	0
San Bernardino	57	0	0	0	2	0	0	0
San Diego	36	0	0	0	5	0	0	0
San Francisco	2	0	0	0	0	0	1	0
San Joaquin	19	0	0	0	5	0	2	0
San Luis Obispo	0	0	0	0	0	0	0	0
San Mateo	4	0	0	0	0	0	0	0
Santa Barbara	2	0	3	0	0	0	0	0
Santa Clara	27	0	0	0	0	0	0	0
Santa Cruz	2	0	0	0	0	0	0	0
Shasta	24	0	0	0	8	0	0	0
Sierra	0	0	0	0	0	0	0	0
Siskiyou	0	0	1	0	0	0	0	0
Solano	14	0	0	0	6	0	40	0
Sonoma	4	0	0	0	0	0	0	0
Stanislaus	14	0	0	0	1	0	0	0
Sutter	1	0	0	0	0	0	0	0
Tehama	0	0	0	0	0	0	0	0
Trinity	0	0	0	0	0	0	0	0
Tulare	28	0	0	0	2	1	0	0
Tuolumne	0	0	0	0	0	0	1	0
Ventura	0	0	0	0	0	0	0	0
Yolo	11	0	0	0	0	0	0	0
Yuba	0	0	16	1	0	0	0	0

a/ This table reflects the outcome of a prosecution. In some instances a prosecution may have more than one outcome reported.

TABLE 6
California Work Opportunity and Responsibility to Kids (CalWORKs) Program
FRAUD OVERPAYMENTS AND COLLECTIONS
July – September 2002

County	Fraud Overpayments Identified	Total Collections
Statewide	\$5,717,079	\$6,243,910
Alameda	660,134	385,862
Alpine	0	0
Amador	0	311
Butte	26,431	11,390
Calaveras	0	1,718
Colusa	0	160
Contra Costa	72,511	142,080
Del Norte	14,993	7,761
El Dorado	16,957	37,393
Fresno	220,729	237,525
Glenn	6,083	9,939
Humboldt	1,961	21,916
Imperial	2,335	7,581
Inyo	0	827
Kern	204,066	119,020
Kings	10,737	42,030
Lake	104,001	9,069
Lassen	6,283	674
Los Angeles	286,903	1,318,560
Madera	29,815	27,137
Marin	25,815	29,288
Mariposa	1,696	396
Mendocino	53,092	24,260
Merced	78,303	19,071
Modoc	0	0
Mono	0	0
Monterey	9,885	118,054
Napa	287	2,280
Nevada	10,320	5,527
Orange	338,685	202,335
Placer	12,134	34,061
Plumas	1,149	3,355
Riverside	253,367	240,896
Sacramento	913,729	559,682
San Benito	19,447	32,813
San Bernardino	263,342	263,151
San Diego	368,234	470,073
San Francisco	114,958	193,940
San Joaquin	161,727	58,323
San Luis Obispo	8,066	8,772
San Mateo	39,989	43,675
Santa Barbara	16,124	100,983
Santa Clara	420,909	777,058
Santa Cruz	10,127	46,401
Shasta	57,162	11,766
Sierra	2,341	503
Siskiyou	38,000	39,000
Solano	351,571	162,588
Sonoma	113,673	98,621
Stanislaus	180,322	113,504
Sutter	8,205	6,569
Tehama	0	1,442
Trinity	0	2,594
Tulare	108,964	0
Tuolumne	7,273	4,039
Ventura	5,125	115,067
Yolo	25,661	48,838
Yuba	33,458	24,032

Food Stamp

Tables

TABLE 7
Food Stamp Program
FRAUD INVESTIGATIONS BY SPECIAL INVESTIGATIVE UNITS
July – September 2002

County	Participating Households ^{a/}	Investigation Requests		Investigations Completed	Evidence to Support an Allegation of Fraud		Investigations Pending End of Quarter ^{b/}
		Received	Accepted		Sufficient	Not Sufficient	
Statewide	687,885	61,108	59,722	60,505	21,143	39,362	97,026
Alameda	20,895	1,374	1,367	1,297	673	624	1,572
Alpine	33	5	5	4	0	4	10
Amador	367	35	35	29	18	11	0
Butte	6,096	472	460	525	229	296	566
Calaveras	696	287	287	287	66	221	10
Colusa	429	25	25	20	2	18	121
Contra Costa	8,449	521	508	506	281	225	435
Del Norte	1,260	71	71	60	18	42	150
El Dorado	1,557	219	219	167	68	99	443
Fresno	30,686	1,162	1,045	1,121	553	568	1,069
Glenn	709	341	341	352	74	278	411
Humboldt	3,923	148	148	130	52	78	215
Imperial	5,043	264	264	277	217	60	459
Inyo	354	7	7	12	6	6	25
Kern	19,513	4,225	4,181	4,184	1,187	2,997	1,069
Kings	4,037	1,192	1,192	1,014	247	767	836
Lake	2,392	158	158	157	91	66	110
Lassen	675	114	114	117	21	96	27
Los Angeles	279,915	12,026	11,387	11,589	4,218	7,371	52,969
Madera	4,632	908	908	953	164	789	163
Marin	1,448	213	213	116	89	27	829
Mariposa	312	17	17	16	5	11	49
Mendocino	2,604	131	128	135	23	112	323
Merced	7,617	1,133	1,133	950	411	539	842
Modoc	285	0	0	0	0	0	0
Mono	119	1	1	0	0	0	1
Monterey	6,281	323	296	392	89	303	1,976
Napa	724	51	51	32	14	18	283
Nevada	756	45	35	22	11	11	92
Orange	25,888	3,063	3,027	2,866	1,641	1,225	3,534
Placer	1,650	58	58	71	26	45	121
Plumas	240	36	36	38	20	18	21
Riverside	20,631	9,812	9,808	9,888	1,793	8,095	1,684
Sacramento	33,920	1,889	1,721	2,472	1,061	1,411	4,460
San Benito	760	33	33	34	23	11	35
San Bernardino	44,635	3,365	3,354	3,453	880	2,573	2,373
San Diego	29,399	5,215	4,983	4,690	1,796	2,894	3,991
San Francisco	15,468	781	781	689	531	158	3,481
San Joaquin	15,046	233	233	211	155	56	400
San Luis Obispo	2,609	181	181	173	96	77	247
San Mateo	2,480	174	174	165	76	89	198
Santa Barbara	5,956	224	224	218	93	125	224
Santa Clara	15,446	707	693	833	324	509	908
Santa Cruz	3,373	1,329	1,329	1,310	248	1,062	76
Shasta	4,395	383	383	380	258	122	1,082
Sierra	50	10	10	10	1	9	9
Siskiyou	1,285	602	602	607	284	323	118
Solano	4,315	1,673	1,656	1,886	662	1,224	2,906
Sonoma	3,858	165	164	419	152	267	1,351
Stanislaus	10,257	1,009	996	1,008	611	397	1,770
Sutter	1,564	513	513	490	264	226	164
Tehama	1,949	68	68	81	23	58	26
Trinity	303	45	45	38	7	31	27
Tulare	15,936	1,805	1,802	1,781	278	1,503	1,899
Tuolumne	844	398	384	434	226	208	121
Ventura	8,445	362	361	321	122	199	344
Yolo	2,815	413	413	386	208	178	361
Yuba	2,564	1,094	1,094	1,089	457	632	40

a/ Data is the monthly average of the April - June 2002 quarter of Federal and State Public Assistance and Nonassistance Food Stamp participating households from the DFA 256 report. NOTE: County numbers do not add to Statewide total due to rounding.

b/ Data is from DPA 266 September 2002 Item 7 Investigations pending at the end of the month. The investigations pending numbers include county case adjustments that occurred during the quarter. NOTE: The statewide total of Investigations pending at the beginning of the quarter from DPA 266 July 2002 Item 4 was 98,020.

TABLE 8
Food Stamp Program
REQUESTS ACCEPTED FOR INVESTIGATION BY PRIMARY ELEMENT OF FRAUD
July – September 2002

County	Early Fraud Prevention/ Detection	Income and Eligibility Verification System	Family (Household) Composition	Other Unreported Income	Residence	Unreported Resources/ Assets	Other ^{a/}
Statewide	38,552	7,846	4,197	2,158	1,009	634	5,326
Alameda	549	379	78	55	8	12	286
Alpine	4	0	1	0	0	0	0
Amador	1	3	6	10	1	4	10
Butte	14	15	167	68	36	6	154
Calaveras	240	0	16	10	6	3	12
Colusa	0	0	9	6	1	1	8
Contra Costa	244	132	19	25	1	1	86
Del Norte	12	8	24	8	6	3	10
El Dorado	49	43	47	27	22	2	29
Fresno	407	201	151	193	22	8	63
Glenn	159	0	59	29	49	7	38
Humboldt	45	1	26	8	10	0	58
Imperial	165	2	41	15	23	5	13
Inyo	0	4	1	1	1	0	0
Kern	1,242	69	447	140	32	40	2,211
Kings	1,002	3	54	38	15	6	74
Lake	8	14	59	16	17	3	41
Lassen	67	0	24	0	2	19	2
Los Angeles	7,118	3,388	206	188	0	76	411
Madera	762	24	40	24	0	2	56
Marin	11	126	11	11	2	2	50
Mariposa	6	3	5	0	1	0	2
Mendocino	12	24	28	26	8	8	22
Merced	657	218	120	69	16	6	47
Modoc	0	0	0	0	0	0	0
Mono	0	0	0	1	0	0	0
Monterey	126	3	15	11	7	1	133
Napa	0	0	16	15	4	1	15
Nevada	7	2	9	7	5	2	3
Orange	2,549	315	68	47	4	11	33
Placer	6	4	15	11	13	1	8
Plumas	22	5	7	2	0	0	0
Riverside	9,128	249	221	56	13	7	134
Sacramento	1,094	236	130	95	20	6	140
San Benito	16	10	1	3	1	1	1
San Bernardino	1,430	35	910	248	306	132	293
San Diego	4,134	537	125	105	35	3	44
San Francisco	134	442	0	7	1	0	197
San Joaquin	142	34	12	10	0	10	25
San Luis Obispo	67	5	51	18	10	4	26
San Mateo	43	11	43	48	3	3	23
Santa Barbara	73	19	52	21	11	3	45
Santa Clara	320	237	42	63	10	9	12
Santa Cruz	952	338	14	12	3	2	8
Shasta	174	8	126	25	32	6	12
Sierra	0	1	6	2	1	0	0
Siskiyou	217	0	67	13	107	180	18
Solano	1,372	171	49	42	5	2	15
Sonoma	66	26	24	13	0	2	33
Stanislaus	185	336	261	55	53	5	101
Sutter	372	14	29	40	10	4	44
Tehama	25	0	14	20	4	1	4
Trinity	25	1	7	3	6	2	1
Tulare	1,310	108	150	124	14	1	95
Tuolumne	333	2	23	7	14	2	3
Ventura	319	0	2	7	0	10	23
Yolo	198	28	39	25	16	9	98
Yuba	939	12	30	35	22	0	56

a/ "Other" includes replaced lost Food Stamps, duplicate (multiple) aid, forgery, fictitious identity, Food Stamp trafficking, internal affairs, child care, drug felon, fleeing felon, probation/parole violators, and other miscellaneous elements of fraud.

TABLE 9
Food Stamp Program
INVESTIGATION RESULTS^{a/}
July – September 2002

County	Early Fraud (Denials/Benefits Reduced/ Discontinuances)	Referred for		Restitution Action	Benefits Reduced or Discontinued (Non-Early Fraud)	Fraud Found, No Adverse Financial Impact	Expiration of Statutes
		Prosecution	Administrative Disqualification Hearing				
Statewide	10,884	1,270	277	4,566	2,553	2,867	1,164
Alameda	330	161	0	131	24	27	43
Alpine	0	0	0	0	0	0	0
Amador	0	2	0	0	12	5	0
Butte	107	28	1	15	69	9	61
Calaveras	56	0	0	0	8	2	3
Colusa	0	0	0	0	2	0	0
Contra Costa	100	21	3	94	0	63	1
Del Norte	4	2	0	1	9	2	0
El Dorado	13	0	0	40	12	68	0
Fresno	127	53	136	128	105	4	59
Glenn	30	0	0	4	35	5	0
Humboldt	21	3	0	13	19	5	0
Imperial	8	1	0	2	17	9	0
Inyo	0	6	0	0	0	0	0
Kern	659	92	0	72	239	125	0
Kings	213	11	0	2	16	5	0
Lake	8	6	0	31	39	21	1
Lassen	3	9	0	0	7	2	0
Los Angeles	2,272	211	0	1,007	93	1,393	0
Madera	10	14	0	36	92	12	0
Marin	15	0	0	55	27	16	4
Mariposa	2	1	0	0	1	1	3
Mendocino	1	3	0	17	0	2	37
Merced	37	66	0	146	145	19	0
Modoc	0	0	0	0	0	0	0
Mono	0	0	0	0	0	0	0
Monterey	39	5	4	4	20	22	6
Napa	3	0	0	3	1	7	0
Nevada	4	2	0	4	1	0	0
Orange	945	57	31	354	497	76	9
Placer	1	3	0	1	22	0	0
Plumas	5	0	0	5	5	8	0
Riverside	1,470	68	0	231	0	16	0
Sacramento	573	77	24	370	14	3	314
San Benito	6	2	0	1	13	0	0
San Bernardino	293	62	5	220	377	106	0
San Diego	1,113	67	56	610	10	70	2
San Francisco	120	5	0	30	129	0	79
San Joaquin	89	44	0	3	7	14	0
San Luis Obispo	19	5	1	11	47	0	4
San Mateo	34	2	0	19	21	0	0
Santa Barbara	35	9	0	29	25	11	0
Santa Clara	119	30	0	165	5	5	189
Santa Cruz	220	2	0	19	7	0	0
Shasta	60	13	0	15	44	126	2
Sierra	0	0	0	1	0	0	0
Siskiyou	56	2	0	12	85	129	0
Solano	470	15	0	120	5	52	133
Sonoma	63	2	8	100	24	95	194
Stanislaus	106	16	6	315	155	54	0
Sutter	90	1	0	8	6	162	0
Tehama	5	1	2	3	3	9	0
Trinity	6	0	0	0	0	1	0
Tulare	223	27	0	25	1	2	20
Tuolumne	165	3	0	8	19	38	0
Ventura	113	9	0	0	0	0	0
Yolo	51	28	0	67	15	47	0
Yuba	372	23	0	19	24	19	0

a/ This table reflects the outcome of an investigation. In some instances an investigation may have more than one outcome reported. Therefore, the data displayed on this table may not correspond to the numbers on Table 7.

TABLE 10
Food Stamp Program
DISTRICT ATTORNEY PROSECUTION ACTIVITY
July - September 2002

County	Referrals Received	Referrals Available ^{a/}	Prosecutions Filed	Decision Made Not to Prosecute
Statewide	1,218	5,210	1,038	211
Alameda	161	443	157	11
Alpine	0	0	0	0
Amador	0	0	0	0
Butte	23	36	16	1
Calaveras	0	0	0	0
Colusa	0	0	0	0
Contra Costa	18	71	10	3
Del Norte	2	9	3	1
El Dorado	0	0	0	0
Fresno	53	70	42	2
Glenn	0	0	0	0
Humboldt	3	3	1	0
Imperial	2	29	3	0
Inyo	6	6	0	0
Kern	92	171	56	9
Kings	11	26	14	3
Lake	6	28	1	2
Lassen	2	3	2	0
Los Angeles	211	882	164	96
Madera	14	14	14	0
Marin	0	1	0	0
Mariposa	1	1	1	0
Mendocino	3	32	0	0
Merced	66	723	21	0
Modoc	0	0	0	0
Mono	0	1	0	1
Monterey	5	43	1	2
Napa	0	34	7	0
Nevada	2	5	4	0
Orange	46	106	40	13
Placer	3	58	1	0
Plumas	0	8	2	0
Riverside	62	114	75	0
Sacramento	77	477	83	14
San Benito	2	4	1	1
San Bernardino	62	527	64	0
San Diego	66	391	73	0
San Francisco	5	138	5	1
San Joaquin	44	122	12	2
San Luis Obispo	5	5	5	0
San Mateo	2	83	4	0
Santa Barbara	9	9	6	3
Santa Clara	30	30	25	0
Santa Cruz	2	2	2	0
Shasta	13	16	11	2
Sierra	0	0	0	0
Siskiyou	2	6	2	0
Solano	15	296	25	42
Sonoma	3	13	4	1
Stanislaus	16	16	16	0
Sutter	1	1	1	0
Tehama	0	0	0	0
Trinity	0	0	1	0
Tulare	27	27	27	0
Tuolumne	3	16	1	1
Ventura	9	34	5	0
Yolo	28	28	28	0
Yuba	5	52	2	0

a/ Data is from DPA 266 July 2002 Item 23 Referrals pending beginning of month plus July, August, and September 2002's Item 24 Referrals received during the month.

TABLE 11
Food Stamp Program
PROSECUTION OUTCOMES^{a/}
July - September 2002

County	Convictions	Administrative Action	Disqualification Consent Agreements	Charge Pleaded	Dismissals	Acquittals	Declinations	Expiration of Statutes
Statewide	879	92	150	76	189	3	74	2
Alameda	118	85	85	0	103	0	11	0
Alpine	0	0	0	0	0	0	0	0
Amador	0	0	0	0	0	0	0	0
Butte	10	0	13	0	2	0	0	0
Calaveras	0	0	0	0	0	0	0	0
Colusa	0	0	0	0	0	0	0	0
Contra Costa	5	0	0	5	5	0	4	0
Del Norte	3	0	0	0	0	0	1	0
El Dorado	0	0	0	0	0	0	0	0
Fresno	84	1	0	0	3	0	0	0
Glenn	0	0	0	0	0	0	0	0
Humboldt	6	0	4	0	3	0	0	0
Imperial	0	0	0	0	0	0	0	0
Inyo	0	0	0	0	0	0	0	0
Kern	17	0	0	0	2	0	0	0
Kings	25	0	3	0	4	0	1	0
Lake	0	0	0	2	0	0	0	2
Lassen	0	0	0	0	0	0	0	0
Los Angeles	126	0	0	0	20	0	0	0
Madera	20	0	17	0	0	0	0	0
Marin	1	0	0	0	0	0	0	0
Mariposa	0	0	0	0	0	0	0	0
Mendocino	2	0	0	2	2	0	0	0
Merced	16	0	0	0	3	0	2	0
Modoc	0	0	0	0	0	0	0	0
Mono	0	0	0	0	0	0	0	0
Monterey	0	0	0	1	4	0	0	0
Napa	5	0	0	0	2	0	0	0
Nevada	2	0	0	0	0	0	0	0
Orange	49	6	0	0	2	2	0	0
Placer	0	0	2	0	1	0	0	0
Plumas	0	0	0	0	0	0	0	0
Riverside	50	0	0	64	1	0	0	0
Sacramento	66	0	0	0	0	0	8	0
San Benito	0	0	1	0	0	0	0	0
San Bernardino	64	0	0	0	1	0	0	0
San Diego	39	0	0	0	3	0	0	0
San Francisco	2	0	0	0	0	0	1	0
San Joaquin	19	0	0	0	5	0	2	0
San Luis Obispo	2	0	0	0	0	0	0	0
San Mateo	4	0	0	0	1	0	0	0
Santa Barbara	5	0	3	0	0	0	0	0
Santa Clara	25	0	0	0	0	0	0	0
Santa Cruz	3	0	0	0	0	0	0	0
Shasta	27	0	0	0	10	0	0	0
Sierra	0	0	0	0	0	0	0	0
Siskiyou	0	0	2	0	0	0	0	0
Solano	16	0	0	0	6	0	42	0
Sonoma	4	0	0	0	0	0	0	0
Stanislaus	16	0	0	0	1	0	0	0
Sutter	1	0	0	0	0	0	0	0
Tehama	0	0	0	0	0	0	0	0
Trinity	0	0	0	0	0	0	0	0
Tulare	30	0	0	0	5	1	0	0
Tuolumne	0	0	0	0	0	0	1	0
Ventura	5	0	0	0	0	0	0	0
Yolo	12	0	0	0	0	0	1	0
Yuba	0	0	20	2	0	0	0	0

a/ This table reflects the outcome of a prosecution. In some instances a prosecution may have more than one outcome reported.

TABLE 12
Food Stamp Program
FRAUD OVERISSUANCES AND COLLECTIONS
July – September 2002

County	Fraud Overissuances Identified	Total Collections
Statewide	\$5,595,814	\$2,478,276
Alameda	493,347	233,787
Alpine	0	0
Amador	0	109
Butte	17,683	20,833
Calaveras	0	293
Colusa	0	272
Contra Costa	52,187	33,039
Del Norte	7,011	4,147
El Dorado	13,764	17,505
Fresno	101,086	74,519
Glenn	4,118	8,802
Humboldt	3,153	21,561
Imperial	3,473	0
Inyo	0	282
Kern	109,182	73,803
Kings	4,310	43,198
Lake	56,222	6,636
Lassen	2,157	595
Los Angeles	1,871,198	160,593
Madera	36,191	23,256
Marin	21,498	20,262
Mariposa	1,555	746
Mendocino	21,576	15,394
Merced	114,083	4,559
Modoc	0	0
Mono	0	0
Monterey	5,926	50,304
Napa	125	3,435
Nevada	2,971	2,256
Orange	174,476	57,508
Placer	4,228	10,183
Plumas	941	1,924
Riverside	189,649	92,537
Sacramento	679,863	190,725
San Benito	6,775	12,477
San Bernardino	136,442	149,916
San Diego	405,981	214,623
San Francisco	121,298	232,772
San Joaquin	77,211	36,669
San Luis Obispo	6,021	2,242
San Mateo	15,674	9,802
Santa Barbara	15,737	39,877
Santa Clara	297,163	125,027
Santa Cruz	9,794	13,441
Shasta	15,647	9,519
Sierra	505	654
Siskiyou	51,000	54,000
Solano	107,048	152,043
Sonoma	27,371	39,180
Stanislaus	157,026	93,574
Sutter	5,379	3,261
Tehama	0	3,766
Trinity	0	1,080
Tulare	98,517	0
Tuolumne	12,570	2,294
Ventura	0	66,192
Yolo	25,482	28,936
Yuba	11,200	13,868



STATE OF CALIFORNIA

Gray Davis, Governor

HEALTH AND HUMAN SERVICES AGENCY

Grantland Johnson, Secretary

CALIFORNIA DEPARTMENT OF SOCIAL SERVICES

Rita Saenz, Director