



Recipient Information

1. Recipient Name

Social Services, California Department of
744 P Street, MS 9-4-72

SACRAMENTO, CALIFORNIA 95814

2. Congressional District of Recipient

*See Remarks

3. Payment Account Number and Type

*See Remarks

4. Employer Identification Number (EIN)

1946001347A7

5. Data Universal Numbering System (DUNS)

613673185

6. Recipient's Unique Entity Identifier

LDJ1MM9L56K7

7. Project Director or Principal Investigator

Michelle Shields

Michelle.Shields@dss.ca.gov

8. Authorized Official

*See Remarks

Federal Agency Information

9. Awarding Agency Contact Information

Sona Cook

Grants Management Officer

sona.cook@acf.hhs.gov

214-767-2973

10. Program Official Contact Information

Joseph Bock

Associate Commissioner

TBD

joe.bock@acf.hhs.gov

202-205-8594

Federal Award Information

11. Award Number

2201CAADPT

12. Unique Federal Award Identification Number (FAIN)

2201CAADPT

13. Statutory Authority

Title IV-E of the Social Security Act -

14. Federal Award Project Title

*See Remarks

15. Catalog of Federal Domestic Assistance (CFDA) Number

93.659

16. CFDA Program Title

Adoption Assistance

17. Award Action Type

Supplement

18. Is the Award R&D?

*See Remarks

Summary Federal Award Financial Information

19. Total Amount of Federal Funds Obligated by this Action \$12,775,836

20. FAIN

2201CAADPT \$12,775,836

21. Fiscal Quarter Start Date- 01-01-2023- End Date- 03-31-2023

22. Authorized Treatment of Program Income

*See Remarks

23. Grants Management Officer – Signature

Sona Cook

Grants Management Officer

Footnotes

Terms and Conditions



Department of Health and Human Services
Administration for Children and Families

Notice of Award

Award # 2201CAADPT

FAIN# 2201CAADPT

Federal Award Date: January 5, 2023

Title IV-E: Foster Care, Adoption, Guardianship

By acceptance of awards for these programs, the recipient agrees to comply with the requirements included in both the General and Supplemental Terms and Conditions for these programs.

The administration of these programs is authorized under Part E (Sections 470 – 479B) of Title IV of the Social Security Act. These programs are codified at 42 U.S.C. §670 to 679c. The program-specific implementing regulations are located at 45 CFR 1355 and 1356. Sections specified in §1356.68 do not apply to a Tribal title IV–E agency. Additional program requirements include: an approved title IV-E plan, including all approved amendments or revisions, the provisions of the ACF Child Welfare Policy Manual, all other applicable Federal regulations, program policies and instructions.

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards is located under 45 CFR Part 75. In accordance with 45 CFR §75.101(e) Applicability and 45 CFR §1355.30(i), states operating these programs must comply with 45 CFR Part 75 with the exception of Subpart C (except for §75.202), 75.306, and 75.341. Tribal title IV–E agencies operating these programs must comply with Part 75, except for Subpart C (except for §75.202) and 75.341.

Additional applicable regulations and requirements can be found in the General Terms and Conditions for Mandatory: Formula, Block and Entitlement Grants.

These programs, per Section 474(a) and 479(B)(d) of the Act, utilize the state/tribal federal medical assistance percentage (FMAP) rate to determine the federal share of maintenance or other assistance payments. Expenditures in FMAP rate funded categories must be matched using funds appropriated by the state or tribal legislature specifically for use in these programs. The FMAP rate varies annually for each State and is published in the Federal Register. The FMAP rate for each Tribe can also vary annually and is published by HHS on the ACF website as follows: <https://www.acf.hhs.gov/cb/grant-funding/tribal-federal-medical-assistance-percentage-fmap>.

- a. A 50 percent FFP rate is applicable for administrative costs and a 75 percent FFP rate for certain training costs. The Federal award provides funds for 50 and 75 percent of total costs for those cost categories, respectively.
- b. State recipients are required to provide funding for the remaining 50 percent and 25 percent of total costs for these cost categories, respectively.
 - The State share of funding will, generally, include funds appropriated by the State legislature specifically for use in these programs.
 - Third party in-kind contributions may not be used as any part of the non-Federal share of program expenditures for this program.
 - The State share of funding may also include,
 - (a) funds donated without any conditions or restrictions to the State title IV-E agency,
 - (b) funds transferred from another public agency to the State title IV-E agency, or
 - (c) expenditures made by another public agency within the State on behalf of the State title IV-E agency and must be certified as applicable to the program under the approved title IV-E State plan.
- c. Tribal recipients, per 45 CFR §1356.68, are required to provide funding for the remaining 50 percent and 25 percent of total costs for these cost categories, respectively.
 - The Tribe's share of funding will, generally, include funds identified specifically for use in these programs.
 - Third party in-kind contributions may be used as any part of the non-Federal share of program expenditures for administrative and training costs under this program.
 - The Tribe's share of funding may also include,
 - (a) funds donated without any conditions or restrictions to the Tribe title IV-E agency,
 - (b) funds transferred from another public agency to the Tribe title IV-E agency, or
 - (c) expenditures made by another public agency within the Tribe on behalf of the Tribe title IV-E agency and must be certified as applicable to the program under the approved title IV-E State plan.

The OMB standard Form SF-425 is not used for this program. The following form is used for financial reports: Form CB-496, Title IV-E Programs Quarterly Financial Report (Detailed instructions are contained in ACYF-CB-PI-21-08)

- Parts 1. Report the Total and Federal share of funds expended, and children assisted in the designated current quarter and an estimate of the funding required in the designated next quarter.
- Part 2. Report prior quarter expenditure adjustments. Applies to the programs identified in this T&C.
- Part 3. Report Demonstration Project and Post-Demonstration costs (used only by title IV-E agencies that were previously approved for a Demonstration Project.) Applies to the programs identified in this T&C.
- Part 4. Report the methodology used to calculate adoption savings due to the application of differing title IV–E Adoption Assistance eligibility criteria for children designated as an “applicable child” under section 473(e) along with an accounting of the amount of and the expenditure of any such savings in the preceding federal fiscal year (FFY).

The submission schedule of these forms is both Quarterly and Annually. Each quarterly financial report must be submitted no later than 30 days following the end of each fiscal quarter (i.e., no later than January 30, April 30, July 30, and October 30). The Part 4 annual report must be submitted no later than 30 days following the end of the FFY (i.e., no later than October 30) (See 45 CFR §201.5 and 45 CFR §1355.30(n)(1).)



Department of Health and Human Services
Administration for Children and Families

Notice of Award

Award # 2201CAADPT

FAIN# 2201CAADPT

Federal Award Date: January 5, 2023

Federal funds awarded under this grant must be expended for the purposes which they were awarded and within the time period allotted. These programs are subject to the Two-Year Claiming Time Limit requirement. In accordance with 45 CFR Part 95, Subpart A – Time Limits for States to File Claims, a State may file a claim for reimbursement only within two years after the calendar quarter in which the State made the expenditure. This time limit applies to the reporting of increasing adjustments but does not apply to the reporting of decreasing prior quarter adjustment claims. See 45 CFR §95.19 for a list of exceptions to this rule.

These programs are subject to the Public Assistance Cost Allocation Plan (PACAP) requirements. In accordance with 45 CFR Part 95, Subpart E – Cost Allocation Plans, a State must include all State agency costs (incurred by or allocable to the State agency) that are applicable to this program in their cost allocation plan and amendment submissions to the Director, Division of Cost Allocation (DCA), in the appropriate HHS Regional Office. In addition, per 45 CFR §95.517, a State may claim FFP for costs associated with a program only in accordance with an approved PACAP.

Tribal recipients, per PI-10-13 are required to claim administrative and training costs under these programs in accordance with an approved cost allocation methodology (CAM).

The OMB approved property reporting is the following:

- a. Real Property Reports (SF-429s). The SF-429 Real Property forms are not applicable to this program. Purchase, construction, and major renovation are not an allowable activity or expenditure under these grants.
- b. Tangible Property Report (SF-428s). The SF-428 Tangible Personal Property forms must be submitted as described in the General Terms and Conditions.

Remarks

* This field is intended to be included in the standardized Notice of Award and will be displayed in subsequent quarters.

Access to your notices of award, at your convenience, is now available through GrantSolutions. You may view a recorded training [here](#) or access quick training guides on the Grant Recipient Support and Reference page.

If you have any questions about accessing grant notices of award utilizing the Unified Experience, please contact the GrantSolutions Help Desk at help@grantsolutions.gov.

Expenditure 2023/2
Batch No. 11
EIN: 1946001347A7
UEI: LDJ1MM9L56K7

Computation of Grant Award

Adoption Assistance
California

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1/5/2023 5:54:29 PM
Issued on 01/05/2023

Federal Share of Expenditures (Revision: 0)	Payments	Administration	Training	Total
1. Allowable Grantee Claims				
For Period 07/01/2022 - 09/30/2022				
a. Current Quarter Claims	174,828,509	21,248,444	332,131	196,409,084
b. Prior Quarter Adjustments	0	(91,472)	(11,776)	(103,248)
c. Reinstatements	0	0	0	0
d. Deferrals and Disallowances	0	0	0	0
e. Fees and Collections	0	0	0	0
f. Previously Awarded Expenditure	0	0	0	0
g. Net Claim ((1a+1b+1c)-(1d+1e+1f))	174,828,509	21,156,972	320,355	196,305,836
2. Funds Advanced to Grantee				
For Period 07/01/2022 - 09/30/2022	162,000,000	21,180,000	350,000	183,530,000
3. Other Adjustments	0	0	0	0
4. Expenditure Awarded this Action (1g-2)+3	12,828,509	(23,028)	(29,645)	12,775,836
Federal Share of Estimates				
5. Funds being Advanced to Grantee				
For Period 01/01/2023 - 03/31/2023				
a. Next Quarter Estimate				
b. Collections				
c. Previously Awarded Estimate				
6. Estimate Awarded this Action ((5a-5b)-5c)				
7. Total Certified for Grant Award (4+6)	\$12,828,509	(\$23,028)	(\$29,645)	\$12,775,836

Accounting Data

Appropriation	CAN	Grant Document	FAIN	Amount
75-22-1545	2022 G994110	2201CAADPT	2201CAADPT	\$12,775,836

Remarks

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